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CRFM Consultancy Report on Stakeholder Identification and Analysis of the Large Pelagic **Fishery in the Wider Caribbean**





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Foreword

The study documented in the present report has been completed as part of a contract awarded to the CRFM by the Caribbean Large Marine Ecosystem (CLME) Project "Sustainable Management of the shared Living Marine Resources of the Caribbean Large Marine Ecosystem and Adjacent Regions". The aim of the CLME Project is to assist Caribbean countries to improve the management of their shared living marine resources through an ecosystem based approach. The CLME Project began on 1 May 2009 and will end on 30 April 2013. The Project implementing agency is the United Nations Development Programme (UNDP) in partnership with IOC of UNESCO and the executing agency is the United Nations Office for Project Services (UNOPS).

The aims of the CLME project are being addressed through regional-level and fishery/ecosystem-specific projects and studies. The Project seeks to evaluate and strengthen the technical-scientific information and knowledge base and to use this to inform the formulation of a Strategic Action Programme that will embrace a shared (ecosystem-based) management vision for the CLME, and to document agreed priority actions (policy, legal and investment reforms) for advancing the proposed ecosystem approach.

The CRFM is responsible for executing two Case Studies for the CLME project: these are aimed at strengthening the technical-scientific information and knowledge base and at examining options for promoting an ecosystem approach to fisheries governance for (i) the Eastern Caribbean flyingfish fishery and (ii) the large pelagic fishery. Readers should note that the present report is one of a set of four related reports that document findings of those SAP activities directed at the fisheries comprising the subjects of the two CRFM Case Studies, that is: (i) a review of existing policy, legal and investment arrangements for the governance and management of each of the two fisheries and (ii) stakeholder analyses for the two fisheries. In addition, readers should note that these four reports were reviewed and validated by stakeholders during a validation workshop, held in May 2012, and the report of this Workshop is published also as a CRFM Technical and Advisory Document.

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Acronyms and Abbreviations

ACS-CSC Association of Caribbean States – Caribbean Sea Commission

ADB Agricultural Development Bank

ALG Action Learning Group

ART Agency for Rural Transformation
ATFA All Tobago Fisherfolk Association

BARNUFO Barbados National Union of Fisherfolk Organisations **BFMLA** Blanchisseuse Fisherfolk and Marine Life Association

BGFA Barbados Game Fishing Association
BHTA Barbados Hotel and Tourism Association

BRT Buccoo Reef Trust

CANARI Caribbean Natural Resources Institute

CARICOM Caribbean Community

CERMES Centre for Resources Management and Environmental Studies
CFTDI Caribbean Fisheries Training and Development Institute

CHTA Caribbean Hotel and Tourism Association
CLME Caribbean Large Marine Ecosystem

CNFO Caribbean Network of National Fisherfolk OrganisationsCNIRD Caribbean Network for Integrated Rural Development

CRFM Caribbean Regional Fisheries Mechanism

DG-MARE Directorate General for Maritime Affairs and Fisheries **EAF** Ecosystem-based approach to fisheries management

EMA Environmental Management Authority

FAC Fisheries Advisory Committee

FAO Food and Agriculture Organisation of the United Nations

GDB Grenada Development Bank

GRENCODA Grenada Community Development Agency

GSDA Grenada Scuba Diving Association

ICCAT International Commission for the Conservation of Atlantic Tunas
IFREMER Institut Français de recherche pour l'exploriation de la mer (Fr.)

French Research Institute for Exploration of the Sea

IGFA International Game Fishing Association

IMA Institute of Marine Affairs

IOCARIBE Intergovernmental Oceanographic Commission Sub-Commission for the Caribbean and

Adjacent Regions

MFPLMA Ministry of Food Production, Land and Marine Affairs

MSD Maritime Services Division NFML National Fish market Limited

NPRBA National Parks, Rivers and Beaches Authority

OECS-ESDU Organisation of Eastern Caribbean States – Environment and Sustainable Development

Unit

PFO Primary Fisherfolk Organisations

SAP Strategic Action Plan **SusGREN** Sustainable Grenadines Inc.

SVGCG St. Vincent and the Grenadines Coast Guard SVGNT St. Vincent and the Grenadines National Trust

TDA Transboundary Diagnostic Analysis

Tobago House of Assembly THA

Terms of Reference **TOR**

Tobago Sports Fishing Association **TSFA**

Trinidad Seafoods Limited **TSL TTCG**

Trinidad and Tobago Coast Guard
Trinidad and Tobago Industrial Fishing Association
Trinidad and Tobago Unified Fisherfolk Organisation **TTIFA TTUF**

University of the West Indies **UWI**

Western Central Atlantic Fishery Commission WECAFC

Executive Summary

The Caribbean is the most geopolitical complex region in the world. There are 45 states with diverse languages, cultures and histories. Each state effects management of the shared fisheries resources in their Exclusive Economic Zones. There is little collaborative management of the resources among the states. In addition, several regional organisations with overlapping mandates also manage the shared resources. The context for natural resource management in the region is therefore complex.

The large pelagic fishery is one of the most highly exploited in the region and has one of the more complex governance structures. Several organisations are involved in management including the International Commission for the Conservation of Atlantic Tunas (ICCAT) that manages all species of commercial and nutritional value to the region. Not all Caribbean States are members of ICCAT. There is therefore no harmonised governance of the fishery.

The Caribbean Sea Large Marine Ecosystem (CLME) project was developed to address those governance needs in several fisheries in the Caribbean including the large pelagic fishery. The Caribbean Regional Fisheries Mechanism (CRFM) is responsible for undertaking the management of the large pelagic case study.

As part of the study, the Caribbean Natural Resources Institute (CANARI) was hired to conduct stakeholder identification and analyses of regional and national stakeholders to understand the capacity, willingness and levels of influence of stakeholders, paying particular attention to the precautionary and ecosystem approach to fisheries management (EAF). CANARI was also asked to analyse the challenges and constraints that must be overcome to engage stakeholders in governance and management and to make recommendations for stakeholder engagement in governance.

CANARI's study was conducted in February to May, 2012 in five countries - Barbados, Grenada, Martinique, St. Vincent and the Grenadines and Trinidad and Tobago. Several methods were used in the study including a desk study, surveys and interviews, and focus group discussions. A regional validation workshop was held in May, 2012 with stakeholders. The comments received at the meeting were included in the report. Participation in the study at the regional level was low.

The study identified stakeholders at the regional and national levels of the fishery. The stakeholders were further classified as intergovernmental, governmental, academic/research, civil society organisations, fisherfolk organisations and private sector organisations.

The study found that most stakeholders at the regional level had high capacity for governance; all were willing to participate in governance; and the levels of influence were high for the direct users and decision-makers. The constraints at the regional level included challenges sharing clear and consistent messages about governance and participatory processes including EAF and having a clear understanding of implementation of participatory governance and management of the fishery in a geopolitically complex region. The recently developed Common Fisheries Policy for CARICOM presents opportunities for stakeholder engagement in governance.

At the national level, stakeholders who were direct users and decision-makers had low capacities for governance, were willing to participate and had high levels of influence on the fishery. Some indirect users and secondary stakeholders did not consider themselves to be stakeholders in the fishery and were unwilling to participate in governance. Challenges at the national level included low representation in stakeholder organisations, inefficient communication within and among organisations, inadequate understanding of the roles and responsibilities of stakeholders in the fishery and inadequate resources for effective participation in governance. Opportunities at the national level included the increasing

awareness of the need for sustainable management and conservation of resources among stakeholders and the existence of champions within organisations who can act as catalysts for change to participatory measures for management.

The study recommended strategies to engage stakeholders at both the regional and national levels of the fishery. These included development of a participation strategy, communication strategy, action learning groups, mentoring and coaching and the development of a financing strategy. It concluded that stakeholder engagement in governance would be successful if stakeholders' capacities are built, if there is long-term support for the participatory measures and if there is institutionalisation of collaborative measures in policies, plans and legislation.

1. Background

The region's highly migratory tuna and billfish resources are exploited by Caribbean countries as well as by foreign nations as sources of livelihood, food security and recreation. The oceanic and coastal resources are landed using a variety of fishing gear by both the small-scale fishers and larger commercial fishing fleets in the countries bordering the Caribbean Sea.

Unfortunately, there is no harmonised management and governance of this fishery throughout the region. Some Caribbean States are not members of the International Commission for Conservation of Atlantic Tunas' (ICCAT)¹, the regional body with responsibility for the sustainable use of all the pelagic species of commercial importance to the region. ICCAT does not manage all large pelagic species to the same degree. Data is therefore lacking.

The Caribbean Large Marine Ecosystem (CLME) Project was designed to assist Caribbean countries in improving the management of their shared living marine resources through an ecosystem approach. A preliminary Transboundary Diagnostic Analysis (TDA) identified three priority transboundary problems that affect the CLME: unsustainable exploitation of fish and other living resources; the degradation and modification of natural habitats; and pollution and contamination. The final TDA will serve as the scientific basis for the development of an agreed program of interventions for the CLME, otherwise known as a Strategic Action Programme (SAP). The SAP will have a shared vision for the CLME and adjacent regions; agreements will be made regarding the priority interventions, reforms and investments required.

The CLME project is grouped into case studies and pilot studies, each representing commercially important fisheries in the Caribbean. The Caribbean Regional Fisheries Mechanism (CRFM) is the responsible agency for carrying out case studies to test governance models at the local, national, subregional and regional levels for two of these fisheries - the flyingfish and large pelagic fisheries. The purpose of these case studies is to fill important knowledge gaps that will contribute to the final TDA. The case study on the large pelagic fishery will be carried out in the following countries: Barbados, Dominica, Grenada, Martinique, Saint Lucia, St. Vincent and Grenadines, Trinidad and Tobago, United States and Venezuela. This case study will review and complete the TDA of the fishery and identify and analyse the priority transboundary problems and issues. The policy, legal and institutional reforms needed to address such transboundary issues will also be identified.

The Caribbean Natural Resources Institute (CANARI) was contracted to conduct a stakeholder analysis for each of the flyingfish and large pelagic fisheries in the Caribbean region. This encompasses: identification of primary, secondary and key stakeholders; assessment of stakeholder capacity, level of influence and willingness to participate in the governance and management processes for the flyingfish and large pelagic fisheries. It takes into account the need to promote the precautionary and ecosystem-based approaches to fisheries management (EAF), and explore options and opportunities for successful structured collaboration/networking among stakeholder groups. This report presents the stakeholder identification and analysis produced through a desk study, surveys, interviews and focus group discussions conducted with stakeholders during January and March of 2012. It also includes comments made at a regional workshop which presented the findings of the study in May 2012.

-

¹ ICCAT is an intergovernmental organisation that is responsible for the conservation of tunas and tuna-like species in the Atlantic Ocean and adjacent seas. (ICCAT, 2012)

1.1 Objectives

The overall objective of the study was to conduct regional and country specific stakeholder analyses of the large pelagic fishery in the Wider Caribbean. The consultant was also asked to use the stakeholder analyses to assess whether a collaborative planning process and management approach is likely to be successful; identify challenges that must be met to increase the likelihood of success; and make recommendations for structured stakeholder collaboration/networking and participation to improve effectiveness and timeliness of decision-making and implementation regarding conservation, taking into account the EAF and precautionary approaches.

The parties agreed to deliver both draft and final reports that outlined:

- country-specific stakeholder analyses for four to six countries with:
 - a list of primary and secondary stakeholders;
 - analysis of capacity, level of influence, and willingness to participate in governance;
- regional stakeholder analysis with:
 - identification of a list of key stakeholders from each country and operating at the regional level that could participate in regional governance of the fishery;
 - analysis of capacity, level of influence, and willingness to participate in regional governance;
- an analysis (at the regional and country level) with recommendations for:
 - options and opportunities for each stakeholder group to engage in structured collaboration/networking and hence formal contribution to the conservation and management of the two identified transboundary fisheries;
 - potential success of a collaborative planning process and management approach at the national and regional levels;
 - challenges that must be met to increase the likelihood of success; and
 - structured stakeholder collaboration networking and participation to improve effectiveness and timeliness of decision-making and implementation regarding conservation taking into account the EAF and precautionary approaches.

Further clarity on how to present these arrangements for governance was suggested at the regional validation workshop and the initial report was modified to reflect these suggestions. See Appendix 1 for the Terms of Reference for the consultancy.

2. Methods

CANARI undertook several activities to achieve the objectives of the study, including a desk review of the different stakeholders in the fishery, interviews/ surveys, and focus group discussions with stakeholders in several countries participating in the fishery. The study was conducted in a sample of five of the nine countries involved in the fishery. A draft report was produced and circulated for comment. The Caribbean Regional Fisheries Mechanism (CRFM) hosted a regional workshop for stakeholders in Grenada in May 2012, to respond to the draft report. The comments made at this workshop were included in the final report.

2.1 Rationale for choosing countries

Five countries were chosen for study. They were Barbados, Grenada, Martinique, St. Vincent and the Grenadines and Trinidad and Tobago.

- **Barbados**: Fishing is historically important to the country. Barbados is also a Contracting Party to the International Commission of the Conservation of Atlantic Tunas (ICCAT).
- **Grenada**: Grenada has one of the largest quantities of fish landed in the Caribbean and it is a member of the Organisation of Eastern Caribbean States (OECS).
- Martinique: Martinique was chosen because it is a Department of France (overseas territory) and does not have full sovereignty over the management of the resource. It is also an ICCAT member through its association with France.
- St. Vincent and the Grenadines: St. Vincent and the Grenadines is a member of the OECS. The island also has strong links with Grenada through the Grenadine islands. It is also a Contracting Party to the ICCAT.
- **Trinidad and Tobago**: Trinidad and Tobago is an example of a two-island state with disparate focuses for fisheries and complex management system.

2.2 Participatory methods used in the study

CANARI contacted 196 persons for the study. There were 138 unique organisations or stakeholders contacted for the study i.e. within organisations, attempts were made to contact several persons. Two persons were contacted to participate in the study in the Caribbean Hotel and Tourism Association. In some cases one stakeholder responded on behalf of two organisations. The respondent from the Food and Agriculture Organisation also responded on behalf of the Western Central Atlantic Fishery Commission.

2.2.1 Desk study

A desk study was used to identify stakeholders that operated at the international, regional and national levels of the fishery. The desk study was used to identify the primary, secondary and key stakeholders at the regional level of the fishery by reviewing documents/websites (e.g. national and regional workshops and conferences and country reports on the fishery). (See the Bibliography).

The stakeholders were classified as being intergovernmental, government organisations, fisherfolk organisations, academia/ research organisations, private sector organisations and non-governmental organisations as requested by the TOR. Six initial stakeholder lists were compiled in January 2012 These initial lists were sent to fisheries agencies for validation, following which telephone calls were made and e-mail correspondence was sent to communicate with stakeholders from February to March 2012 to organise country visits.

2.2.2 Survey

A survey was designed to meet the objectives of the Terms of Reference. This is shown in Appendix 2. The survey was e-mailed to regional and country level stakeholders that were identified in the desk study or suggested by other stakeholders, with follow-up telephone calls to alert potential respondents about the e-mail message in February and March 2012. The survey was used as a guide to structure interviews with stakeholders with those who could not attend the focus group discussion and regional stakeholders based in countries that were not part of the study.

2.2.3 Focus group discussion

The focus group discussions were conducted between February and March 2012. The Fisheries Division in each of the five countries invited ten organisations to participate in the focus group discussions. A focus group discussion was not held in Trinidad and Tobago as most of the participants were unable to attend a meeting.

Following brief review of key concepts as described in Appendix 3, facilitated sessions were conducted with participants to identify primary and secondary stakeholders in the fishery in the country and their scope of operation (international, regional or national). The stakeholders were also grouped by the stakeholder categories. The participants then voted for the key stakeholders in the fishery.

The participants identified the capacity of the key stakeholders by indicating the elements of capacity that existed. These were used to rank the stakeholders' capacities as high, medium or low. The participants also discussed the willingness of stakeholders to participate in and the levels of influence on governance and management of the fishery. The challenges, constraints, and possible opportunities for engagement in governance were also discussed. (See Appendix 4 for the capacity, willingness and influence analyses.)

2.2.4 Regional workshop

The Caribbean Regional Fisheries Mechanism hosted a regional workshop from May 2-3, 2012 to bring stakeholders together to validate the draft reports. Stakeholders were given opportunities to comment on the document and suggest changes to improve the contents. These were included in the final document.

2.2.5 Limitations

The responses at the regional level were poor. CANARI contacted 30 organisations at the regional level; only seven responded. All were e-mailed copies of the surveys and five of the seven responded via email. The other two respondents were interviewed over the telephone and via personal visits. The views of these 23 non responsive, critical stakeholders could not be represented in the study. The list of stakeholders contacted and who participated in the study is shown in Appendix 5.

Because the study represented the views of stakeholders, it presents the perception of those stakeholders who participated. Several critical stakeholders did not participate in the study. Perception may not represent the reality regarding governance at the regional or national level, demonstrating the need for awareness building among stakeholders.

3. Findings

3.1 Regional level stakeholders in the large pelagic fishery

3.1.1 Stakeholder identification

The table below groups the stakeholders identified at the regional level. 56 stakeholders participating in governance of the fishery at the regional level were identified through the desk study in the five countries. There were seven primary stakeholders including the Caribbean Network of Fisherfolk Organisations (CNFO) and the Caribbean Regional Fisheries Mechanism (CRFM). There were 49 secondary stakeholders. The list of stakeholders identified at the regional level is shown in Appendix 6.

Table 3.1 Number of stakeholders identified- regional

Level of operation	Type of organisation	1°	2°	TOT
International	Intergovernmental	0	10	10
International	Government	0	3	3
International	Fisherfolk organisation	1	0	1
International	Private sector	1	0	1
International	Non-governmental organisation	0	2	2

Regional	Intergovernmental	2	12	14
Regional	Fisherfolk organisation	1	0	1
Regional	Private sector	2	2	4
Regional	Academia/ research	0	7	7
Regional	Non-governmental organisation	0	13	13
TOTAL		7	49	56

3.1.2 Capacity and willingness analyses of the regional level stakeholders

The elements of capacity (in bold, below) were used to analyse the capacity of stakeholders for participation in the governance of the resource. Six stakeholders participating in the study were identified as having high capacities for governance of the large pelagic fishery including the Caribbean Regional Fisheries Mechanism (CRFM). The Association of Caribbean States Caribbean Sea Commission (CSC) was identified as having medium capacity while the Caribbean Network of Fisherfolk Organisations (CNFO) was identified as having low capacity for governance and management of the fishery. A summary of the findings under each element is discussed below.

- World view and culture: All the regional level organisations had mandates that reflected participatory management and were engaged in participatory processes.
- Organisational structure: The CSC and the CNFO were the only two organisations participating in the study that did not have organisational structures that would allow participatory management. The CNFO has no legal standing in any of its member countries and some Caribbean countries do not participate actively in the organisation. The CSC has yet to form a Secretariat to oversee the management of the Commission and there was no clear structure for stakeholder engagement. Communication and collaboration among the CNFO members and between the CNFO and other stakeholders is constrained by distance among the actors.
- Adaptive culture and strategies: Most of the stakeholders surveyed indicated the presence of adaptive culture and strategies although that of the CSC was unknown. The Caribbean Natural Resources Institute (CANARI) has a strong history of adaptive culture and strategies and has specific systems in place to allow it to function as a learning organisation.
- **Linkages**: All the stakeholders surveyed demonstrated the ability to form and maintain relationships to varying degrees.
- **Skills, knowledge and abilities**: Most stakeholders demonstrated technical knowledge of the fishery. Most also had facilitation skills necessary to manage participatory processes.
- **Material resources**: The CNFO and the CSC were the two stakeholders that required further material resources to be effective participants in governance.

All the stakeholders were willing to participate in governance of the fishery. The capacity and willingness of stakeholders are shown in Appendix 6.

3.1.3 Level of influence of the regional level stakeholders

The Caribbean Regional Fisheries Mechanism (CRFM) and the Caribbean Network of Fisherfolk Organisations (CNFO) were identified as having the highest levels of influence on governance of the large pelagic fishery. The Caribbean Natural Resources Institute (CANARI), the Caribbean Fisheries Training and Development Institute (CFTDI) and the Association of Caribbean States Caribbean Sea Commission (CSC) were identified as having the lowest levels of influence on the fishery.

Several other organisations may have powerful influences on the governance of the large pelagic fishery in the region but did not participate in the study. The International Commission for the Conservation of

Atlantic Tunas (ICCAT) is one such organisation as it is responsible for setting quotas for the tuna and tuna-like species. Its influence on the large pelagic fishery in the region is believed to be high. The organisation did not participate in the study and the information from the desk study could not be verified. Because of this, the organisation was not plotted on Figure 3.1 below.

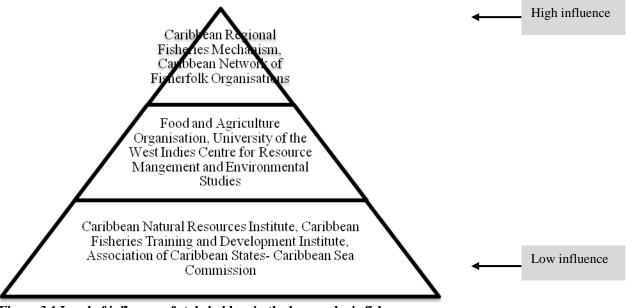


Figure 3.1 Level of influence of stakeholders in the large pelagic fishery

3.1.4 Constraints and challenges for stakeholder engagement

The constraints and challenges described affect both the timeliness and effectiveness of governance measures.

- **Inadequate resources**: Several stakeholders had inadequate funds, time and equipment needed to effectively participate in management and governance of the fishery.
- Limited knowledge and understanding of how to implement the ecosystem approach to fisheries management (EAF) at different governance levels: Although adaptive cultures exist in organisations in the region, EAF has not been internalised and implemented at all levels of the fishery. The concept seems to be more readily known and accepted at the regional level than at the national level where many of the measures will be implemented. More guidance is needed on how to move theory into practice at all levels of governance.
- **Inconsistent messages about EAF**: Key stakeholders in the fishery do not share consistent messages about EAF with other stakeholders resulting in confusion about the concept and subsequent non-implementation.
- Short history of successfully employing participatory approaches to fisheries management within the region: Many of the countries surveyed have not used participatory processes in management of the resource. EAF requires participatory processes. Uptake will therefore require a paradigm shift for EAF to be successful.
- Legal and legislative environment for the management of fisheries does not enable participatory approaches: Fisheries legislation and policies in many countries do not allow

stakeholder participation in the policy cycle². In most countries, full stakeholder participation is confined to 'data and information', 'analysis and advice' and 'implementation'. For example in Barbados, as in many of the other Caribbean countries, there is provision for a Fisheries Advisory Committee that makes policy recommendations to the Minister responsible for the fishery.

- Limited representation from the region in ICCAT: ICCAT manages large pelagic species of commercial importance to the Caribbean but not all Caribbean states are members of the organisation. Management measures are only implemented by a few states and coordinated regional management issues are not fully addressed. Participation in management organisations is particularly important for good governance of the large pelagic fishery in a region such as this, in which there is such a large number of states with diverse individual systems of governance.
- **Disconnect between regional management and national management:** There is a perception that decisions made at the regional level should be implemented by the Fisheries Divisions. However, the present limited capacity of these bodies would make translation from policy to action difficult. Further, the largely outdated national legal and legislative framework in the region, largely contributing to limited adaptive capacity of organisations involved in the management of the fishery, makes bureaucratic changes to effect management decisions challenging.
- **Perception of fishing as a livelihood:** Commercial fishing is still seen as an industry for those who cannot find employment. The potential of fishing as a livelihood is not always communicated in a positive manner and is not always given prominence in national or regional socio-economic policies.
- Secondary stakeholders do not see themselves as stakeholders: Many stakeholders, in sectors such as tourism and agriculture, do not always see themselves as having a stake or interest in the fishery. Improving these stakeholders' understanding of their roles and responsibilities in the governance and management of the resource will be critical for the uptake of EAF at the regional level.
- Insufficient importance attached to communication: Specific messages on the governance of the fishery need to be translated to target audiences to enhance the understanding of their role and function in effecting good governance of the fishery. Regional management organisations, like the CRFM, have vacancies for Communication Specialists that are not filled, affecting the efficacy of their communication while other regional management organisations have communication strategies that are not being implemented.

3.1.5 Opportunities for engagement in management and governance

Several opportunities for engagement of stakeholders exist.

- Existence of champions: Several regional organisations have persons on staff who are interested in the management of the fishery beyond the dictates of their organisations. These persons can be used within the countries to catalyse EAF practices.
- Regional organisations with high capacity, interest and influence: The Caribbean Regional Fisheries Mechanism (CRFM) for example, has high capacity for governance and a large degree of influence on regional processes. Organisations such as the CRFM can lead on:
 - translating the concept into regional policy with suggestions for implementation;

² A policy cycle was designed for the governance framework for the CLME. The five stages in the policy cycle are (i) analysis and advice (ii) decision-making (iii) implementation (iv) review and evaluation and (v) data and information

- conducting research in response to identified on-the-ground application of EAF in the region;
- communicating on-the-ground approaches to EAF; and
- communicating key messages to target audiences to enhance understanding of their role and function in effecting good governance of the fishery.
- Existence of the Common Fisheries Policy for the Caribbean: The policy includes both precautionary and participatory approaches to management of fisheries in the region. It provides a common forum for negotiating regional measures for management and governance of the fishery.
- Increasing importance of food security as a regional priority: The current salience of food security for the region can catalyse and support the need for improved regional governance of the fishery [example in (CANARI, 2003; Geoghegan, 2004; Renard, Brown, & Geoghegan, 2001)].
- Increasing body of knowledge on how to facilitate participatory management of natural resources: There has been considerable research in the region documenting the capacities required, and benefits gained from participatory governance. This has largely improved buyin to the concept among natural resource managers and has fuelled incorporation of the concept into natural resource management policy. However, translation of the concept into practice has been limited to the consultative end of the continuum of participation³.

3.2 National level stakeholder identification and analysis of the large pelagic fishery in the Caribbean

3.2.1 Stakeholder identification in the five countries

There were 18 international, 31 regional and 276 national stakeholders identified in the study. In many cases a stakeholder was identified several times in different categories. The Caribbean Network of Fisherfolk Organisations for example, was identified by four of the five countries participating in the study as a stakeholder at the national level of the fishery. Most of the stakeholders identified were government organisations as seen in Table 3.2 below.

Table 3.2 Number of stakeholders identified in the five countries

Level of operation	Type of organisation	Bar	GND	Mar	SVG	T&T
International	Intergovernmental	2	4	3	4	5
	Fisherfolk organisation					
	Private sector					
	Academia/ research					
	Non-governmental					
Regional	Intergovernmental	4	2		2	4
	Government					
	Fisherfolk organisation	1	1		1	1
	Private sector		1		1	
	Academia/ research	1		5		3
	Non-governmental		1			3
National	Intergovernmental					
	Government	10	25	7	23	27

³ In the continuum of participation, at the extreme left processes are top-down and consultative. At the extreme right, management is transferred to stakeholders. In between, roles and responsibilities are shared. (CANARI, 2011)

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Level of operation	Type of organisation	Bar	GND	Mar	SVG	T&T
	Fisherfolk organisation	7	10	14	5	18
	Private sector	11	19	11	17	28
	Academia/ research		2			4
	Non-governmental	3	14	1	13	7

The complete lists of all the country stakeholders and the lists of key stakeholders are shown in Appendix 7. The stakeholders participating from each of the five countries are given in Appendix 5.

3.2.2 Capacity analysis of the national level stakeholders

There is the perception among stakeholders that very few actors have sufficient capacity to participate in governance of the large pelagic fishery within the countries. Certain characteristics (identified through the analyses) are shared among the stakeholders with high capacity for governance:

- Large percentage of the staff is highly trained, most with tertiary level of education (e.g. Caribbean Regional Fisheries Mechanism and the Centre for Resource Management and Environmental Studies)
- Exist as regional organisations (e.g. French Research Institute for the Exploitation of the Sea [IFREMER], Caribbean Fisheries Training and Development Institute [CFTDI])
- Sharing information is mandated as one of the roles of the organisations
- Organisation is well known by the stakeholders
- Culture for participatory management exists within the organisation
- Research is a core function and drives most activities undertaken
- Have culture of adaption (demonstrated ability to change with changing external factors)
- Form partnerships with other stakeholders to effect mandates
- Have diverse sources of funding
- Have the finances and equipment necessary to carry out their core functions

The characteristics of the stakeholders with medium capacity for governance of the large pelagic fishery include:

- Indirect users of the resource (Grenada SCUBA Diving Association, Ministry of Tourism [SVG])
- Not readily recognised as being actors in governance
- Have technical skills, knowledge and abilities
- Can form relationships with other stakeholders to be effective partners in governance

The characteristics of the stakeholders with low capacity for governance of the fishery include:

- Direct users of the resource (e.g. fishers)
- Directly involved in governance of the resource (e.g. Fisheries Division)
- Sometimes perceived as not working well with others (e.g. fishers)
- Not organised into formal groups to engage effectively in governance processes (e.g. consumers in Barbados and Martinique)
- Inadequate material resources to participate in governance (funding is especially needed)
- Communication with other stakeholders is not always considered and when information is shared the pathway is often ineffective
- Communication within the stakeholder groups not always effective (e.g. fisherfolk organisations, Fisheries Division in Grenada, Tobago House of Assembly)
- Require additional technical skills, knowledge and abilities to contribute effectively to management

- Require facilitation skills or the skills to identify type of facilitators needed for participatory processes
- Lack formal adaptive strategies but informal strategies in the case of the fisherfolk organisations seemed to have been effective (organisations surviving despite not having formal adaptive strategies)

The capacity analysis for each stakeholder is shown in Appendix 8.

3.2.3 Willingness analysis of the national level stakeholders

Most stakeholders in all five countries were willing to participate in governance and management of the large pelagic fishery. Table 3.3 shows the reasons given for willingness to participate in governance and management of the large pelagic fishery.

Table 3.3 Reasons given for willingness to participate in management of the large pelagic fishery

Willingness	Reason given	Example of stakeholder
	Traditional users	Barbados National Union of Fisherfolk Organisations Goodwill Fishermen's Cooperative Society Caribbean Network of Fisherfolk Organisations
	Legal mandate	Fisheries Divisions in Barbados, Grenada, St. Vincent and the Grenadines and Trinidad and Tobago Caribbean Regional Fisheries Mechanism
	Derive economic benefit	Fish processors and vendors Tobago Game Fishing Association
Willing	Statutory responsibilities for aspects of the resource management and governance	Trinidad and Tobago Coast Guard Maritime Services Division National Parks, Rivers and Beaches Authority (St. Vincent and the Grenadines) Ministry of Foreign Affairs (Trinidad and Tobago) Ministry of Tourism (Barbados) Ministry of Health (Grenada)
	Research associated with the resource	Centre for Resource Management and Environmental Studies Caribbean Regional Fisheries Mechanism Institute of Marine Affairs French Research Institute for the Exploitation of the Sea (IFREMER)
	Conservation of the resource	St. Vincent and the Grenadines National Trust Grenada Community Development Agency
	Enjoyment and individual concern about the resource	Consumers in Barbados and Martinique
	Believes the management becomes weakened	Spice Island Bill Fish Tournament Committee
Unwilling	Resource management and governance of limited importance to the stakeholder	Sustainable Grenadines Inc. Sport fisher in St. Vincent and the Grenadines
	Does not consider itself a stakeholder in governance	Sustainable Grenadines Inc Spice Island Bill Fish Tournament Committee

The individual analyses are shown in Appendix 9.

3.2.4 Level of influence

The characteristics of the stakeholders' levels of influence were as follows:

- In most cases, the stakeholders with the highest levels of influence were those that were traditional users of the resource (fishers) and those that had legal responsibility for management of the fishery (Fisheries Divisions). These are the stakeholders most directly involved in the large pelagic fishery.
- In several countries, stakeholders with the highest levels of influence are fewer in number than those with the lowest levels of influence (Grenada, St. Vincent and the Grenadines, and Trinidad and Tobago.
- In ICCAT member countries (Barbados, Martinique, St. Vincent and the Grenadines, Trinidad and Tobago) the relationship between the Fisheries Division and the fishers is stronger than the relationship between these two stakeholders in the non- ICCAT country (Grenada). The influence of the fishers on management and governance of the fishery is therefore greater than in non-ICCAT countries.
- Stakeholders with lower levels of influence were those that were indirectly involved in management and governance of the fishery (Energy Division in Grenada, Cooperatives Department in St. Vincent and the Grenadines) or those who were recreational users (consumers in Barbados and Martinique and sport fisher in St. Vincent and the Grenadines).
- Stakeholders with higher levels of influence are those most willing to participate in management and governance of the large pelagic fishery (Fisheries Division, Regional Council Martinique).
- Stakeholders with lower level of influence are those that are least willing or unwilling to participate in management and governance of the fishery (Sustainable Grenadines Inc., Spice Island Bill Fish Tournament Committee).
- There is an inverse relationship between capacity and level of influence. The Fisheries Division and the fishers that have high levels of influence also have lower capacity in several countries (e.g. Grenada, Trinidad and Tobago).

The levels of influence of individual stakeholders are shown in *Appendix 10*.

3.2.5 Constraints and challenges for stakeholder engagement

Several constraints and challenges exist that prevent stakeholder engagement in management and governance of the large pelagic fishery in the Wider Caribbean.

- Inadequate organisation among stakeholders from civil society: Several stakeholders are not organised into structured groups. This can make their participation in management and governance challenging as a collective voice is absent. This means that innovative ways must be found to engage them in management.
- Inadequate representation of stakeholders in existing organisations: BARNUFO's members for example, do not always participate in the organisation nor do they regularly attend meetings about decisions on the fishery. Many fishers do not see the value of spending time talking about fishing that can be otherwise spent earning a living. Low participation in organisation limits the representativeness of the stakeholder group and weakens the overall contribution to governance.
- Inadequate resources: Most stakeholders indicated that they will need more funding to be effective participants in management and governance. However, sufficient time, materials and equipment are also needed to enable effective participation. The Fisheries Division in Grenada and the Tobago House of Assembly have Fisheries Extension Officers that reported

- that they are not always given the resources necessary to effectively represent the view of fisherfolk in management decisions.
- **Meeting places unsuitable**: The fishers and the primary fisherfolk organisations (PFOs) believed that the meeting places chosen are often not the most convenient for them. The venues are often some distance away from their bases of operation and involve lengthy investment of travel time that takes away from earning money.
- **Time of meeting unsuitable**: Fishers believe that the times set for meetings are prohibitive as they are scheduled at times when they have to go fishing.
- Inadequate information available to participate effectively in governance: Several stakeholders (e.g. fishers and consumers in Barbados) do not have information that can help them make informed contributions to the fishery. At the regional meeting, few fishers were aware of the recorded catch of flyingfish documented in the FAO's report on the Third Meeting of the WECAFC Ad Hoc Flyingfish Working Group of the Eastern Caribbean.
- Chosen pathways and products ineffective: Many studies have been conducted on fisheries management in the region. However, this important information is housed in technical reports on websites, which is largely inaccessible to resource users because of the technical language used and the unappealing length of some of these documents.
- Communication within organisations not always effective: Particularly in government agencies, messages concerning management decisions are often not clear as the messages become distorted as they are transferred through the long chain of command. This affects the effectiveness and timeliness of management and governance actions.
- Insufficient prominence of some key stakeholders: Stakeholders like the Trinidad and Tobago Unified Fisherfolk organisation (TTUF) are not always identified and included in participatory management processes about the fishery. This suggests that there is a need for these organisations to enhance their efforts to communicate their activities to others. These organisations are therefore not included in critical processes and the concerns and views of their constituents are not addressed.
- Insufficient coordination for management and governance: It was pointed out that there are insufficient meetings and limited structures among the different sectors (e.g. fishing, tourism, agriculture, etc.) to contribute to effective management of the resource.
- Short history of effective participatory measures employed in the management of natural resources within countries: Few successful examples of effective participatory measures employed for natural resource management exist within the countries so there is little demonstration of the benefits of the concept.
- **Perception of fishing**: In several countries, the importance of fishing to the economy is often under-valued (e.g. Trinidad and Tobago, Barbados) so there is limited allocation of resources to the sector.
- Stakeholders not concerned about management and governance: Stakeholders understand that governance structures must be changed to ensure the sustainability of the resource but have not made the connection between participating in meetings and contributing to the measures that must be implemented and enforced for the resource to be sustainable.
- Stakeholders unwilling to participate: Several stakeholders were unwilling to participate in management and governance of the resource for several reasons.
 - Management and governance are weakened when too many stakeholders participate in the processes.
 - They do not believe that they have stakes in the management and governance of the resource.
 - Stakeholders do not want to be part of governance and management despite understanding their roles and responsibilities.

- Low organisational capacity of the primary fisherfolk organisations: Unavailability of time and inadequate access to and understanding of communication technology limit the effectiveness of the organisations' participation.
- Insufficient awareness of the roles and responsibilities of different actors in management and governance: Stakeholders are not always aware of the role of other actors in management and governance of the large pelagic fishery. There are two implications of this: (i) critical stakeholders may be left out of management and governance; and (ii) in a participatory process where stakeholders are not aware of the roles of others, perception of roles may not match reality. Both result in insufficient/inaccurate information being used in processes that become weakened.
- Conflicts among stakeholders: Stakeholders do not want to work together to manage resources because of conflicts. Conflicts undermine trust among stakeholders which is a foundation element in participatory approaches to natural resource management. Fishers and the Fisheries Division in Grenada were at odds because the fishers believed the government agency was not doing enough to prevent the decline of the bait fishery. Fishers in St. Vincent and the Grenadines complained that fishers from other islands were "stealing" their catch. In such an environment of mistrust, participatory management is challenging.
- **Inadequate data collection**: There needs to be more consistent and timely scientific, social and economic data collected on the fishery and the findings communicated in ways that all stakeholders can understand for effective contribution to management and governance.
- Organisational structures that do not allow for easy stakeholder engagement: Many government organisations have structures that have limited avenues for participatory stakeholder inclusion in governance.
- Inadequate understanding of the need for facilitation: An understanding of the value of facilitation of participatory processes in the region is at best limited. Few organisations in the region recognise and understand that facilitation is "a process of helping groups or individuals come to a common objective without imposing, dictating or manipulating an outcome. Facilitation empowers individuals or groups to find their own answers to problems or plan approaches to issues identified" (CANARI, 2011). Ineffective or nonexistent facilitation has hampered the effectiveness of implementation of participatory processes and has fuelled mistrust among stakeholders.

3.2.6 Opportunities for engagement in management and governance

- **Willingness to participate**: The majority of stakeholders indicated a willingness to participate in management and governance of the fishery.
- Existence of champions within key organisations: Several regional organisations have persons on staff who are interested in participatory management of the fishery beyond the dictates of their organisations. These persons can be used within the countries to move interest in the concept from support of the theory into practice.
- National commemoration of environmental days: In most countries several international and national environmental days are celebrated. These can be used as avenues to increase the awareness of issues surrounding the governance of the large pelagic fishery at the national level.
- Changing policies and plans that increasingly use participatory measures: Several countries have changed or are in the process of changing their natural resource management policies and plans (including fisheries management plans) to reflect more participatory processes.

• Increasing environmental awareness among stakeholders: Consumers in several countries are now more conscious of the need to conserve natural resources. Consumer-driven demand can be used as an opportunity to introduce and implement participatory approaches.

4. Recommendations for structured stakeholder engagement in management and governance

4.1 Strategies for structured stakeholder engagement

Several strategies can improve stakeholder engagement in management and governance of the large pelagic fishery at both the regional and national levels. These include:

- Development of a participation strategy with an implementation plan
- Development of a communication strategy with an implementation plan
- Action Learning Groups (ALGs) to improve peer learning and support for engagement in governance
- Mentoring and coaching of several stakeholder groups
- Development of a financing strategy with fund-raising plan

Participation strategy

A participation strategy is developed with the full involvement of key stakeholders. It can be used to:

- analyse the interests, rights, roles and responsibilities of the stakeholders;
- detail the extent to which each stakeholder <u>should be</u> involved in governance i.e. what is the desired level of participation and the points in the CLME policy cycle where stakeholders should be engaged and their role in the policy cycle;
- determine the types of participation that will be facilitated for different stakeholders;
- identify conflicts and develop and implement a conflict management plan that includes negotiation and mediation;
- use the capacity assessment provided in Appendix 8 to develop and implement capacity building interventions including specific training to address identified capacity needs so that stakeholders can effectively participate in management;
- facilitate stakeholder participation using various processes as appropriate to stakeholders needs, capacity and desired level of participation; and
- ensure that there is stakeholder engagement in governance and that the final decisions are shared with the stakeholders.

An implementation plan should also be developed to ensure that the participation strategy is effected. It is recommended that key stakeholders within each country (national level) and in the region (regional level) work together to create and implement a participation strategy for management and governance. (The steps in a participation strategy are shown in Figure 4.1).

Communication strategy and implementation plan

A communication strategy with clearly defined target audiences and messages that are simple, clear and concise be developed to ensure that there is consistency of the messages conveyed to target audiences. The communication strategy should incorporate ways of gaining stakeholder feedback to ensure that there is two-way communication. There should be a monitoring and evaluation component to gauge initiatives and allow adaptation to changing environment. The communication strategy should be developed in tandem with the participation strategy so that the appropriate products and pathways (e.g. meetings, online forums, etc.) can be used to transmit information to stakeholders. These are dependent on the

desired level of their participation as more resources will be allocated for those deeply involved in management and governance.

Several communication strategies have been developed for various organisations in the region but have not been implemented. The CLME Project also designed a communication strategy that remains largely unimplemented. These strategies may provide a good foundation to develop a regional strategy with an implementation plan to realistically address communication needs on the implementation of EAF in the region.

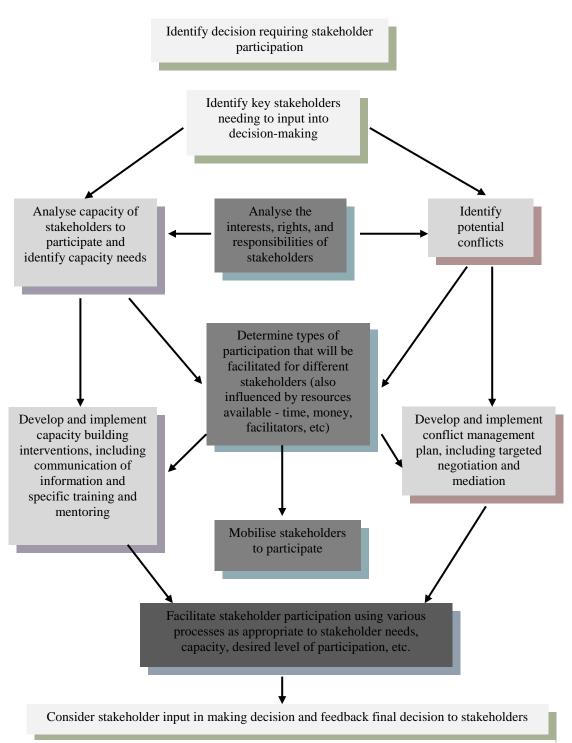


Figure 4.1 Key steps in an idealised participation strategy

Action Learning Groups $(ALGs)^4$

Action learning is a powerful approach that creates dynamic opportunities for individuals, teams, leaders and organisations to successfully adapt, learn and innovate. Members for the ALG could be drawn from key national and regional organisations with relevant skills, knowledge or experience to contribute to research and capacity building on EAF. ALG members are expected to disseminate and apply research findings within their countries, institutions and sectors. Representation on the group can be multisectoral, with representation from key areas such as technical and financial support agencies, Fisheries Divisions, fishers, and agencies involved in poverty reduction, social development and rural livelihoods. The action learning approach involves a cyclical process of:

- ALG members bringing forward specific challenges faced and key questions (for example: What is the best way to reach policy-makers? What are the relevant policies from other sectors that need input from the stakeholders?);
- facilitated reflective questioning by members of the ALG to analyse the multiple dimensions of the complex problem and stimulate creative and innovative thinking on new ways to approach the problem;
- facilitation of collective analysis of ideas and identification of potential solutions and practical actions by the ALG;
- ALG members testing these actions on the ground;
- systematic and deliberate evaluation by the ALG members during implementation to ensure active learning;
- members involved in testing actions sharing experiences with the ALG for collaborative reflection and analysis of lessons and recommendations, which will be fed back into the cycle for further action learning.

Peer support and learning are emphasised as integral parts of the action learning approach. Stakeholders are seen as the 'experts' who can best work together to solve the complex problems that they are facing. Capacity is built not through communication of 'knowledge' by outsiders but by innovative thinking and testing on the ground.

The action learning approach promotes sustainability and achievement of results by focusing on building relationships and facilitating collaboration, ensuring ownership of the actions, and building capacity.

*Mentoring and coaching*⁵

It is also recommended to use mentors and coaches, drawn from a pool recommended from the ALG, who can provide technical assistance to stakeholders to support their participation in large pelagic fishery management and governance.

Mentoring is a relationship which gives 'mentees' (the people being mentored) the opportunity to share their professional and personal skills and experiences, and to grow and develop in the process. It is a power free, two-way mutually beneficial learning situation where the mentor provides guidance, shares knowledge and experiences using a low pressure, self-discovery approach. It is a developmental, caring, sharing, and helping relationship where one person invests time, know-how, and effort in enhancing another person's growth, knowledge, and skills, and responds to critical needs that prepares the individual for greater productivity or achievement in the future.

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⁴ Section taken from (CANARI/CERMES/CRFM, 2012)

⁵ Section taken from (CANARI/CERMES/CRFM, 2012)

A mentor's <u>role</u> is to:

- listen.
- provide constructive feedback and help their mentee consider options;
- assist mentees to find resources and share their own experiences;
- help to identify areas for development;
- allow opportunities to practice new skills;
- ask questions to cause further exploration of ideas or to challenge their mentee's thinking; and
- provide guidance, not direction, and do not solve problems but act as a collaborator in the problem solving process.

A mentor's <u>responsibilities</u> are to:

- maintain confidentiality;
- be accessible:
- listen actively to the mentee;
- promote responsible decision-making;
- motivate and support the mentee to achieve their goals;
- ensure a professional relationship;
- act as a role model; and
- recognise when it is time to relinquish the mentoring role.

The mentoring model involves four components for assessment of mentees:

- Where are they going? Initiate exploration by looking at: What is the current situation? What factors are impacting on the situation? How do they affect the situation? Why should it change?
- Where do they want to be? Facilitate learning by looking at: What do we know? What are the implications? What could be different? What might be done? What might be the consequences of the actions contemplated? What then, are realistic goals?
- *How will they get there?* Guide the planning process by looking at: How might the goals be achieved? What actions would be needed? How will it be done? What resources will be needed? How will progress be monitored?
- *How are they progressing?* Support experimentation y looking at: How is it going? Are adjustments needed? Are the expected outcomes being produced? Are there unexpected outcomes? What could be done differently next time?

Coaching involves supporting a stakeholder to build specific skills. Technical assistance provided to fisherfolk for example, will build their skills in communication, advocacy, and networking. Key technical knowledge on large pelagic fishery governance and management issues can also be provided by the mentors.

Financing strategy with fund raising plan

A financing strategy can improve the financial sustainability of management and governance of the large pelagic fishery in the Wider Caribbean. It is a strategy to guide fund-raising for various aspects of governance. The financing strategy should include budgets with the minimal amount necessary to achieve the core objectives of governance and management of the fishery. It should identify various sources of funding and include innovative mechanisms to improve the sustainability of the governance measures.

Several strategies can be used to address a challenge to support stakeholder engagement in governance. The communication strategy is recommended to develop and clarify messages about governance and

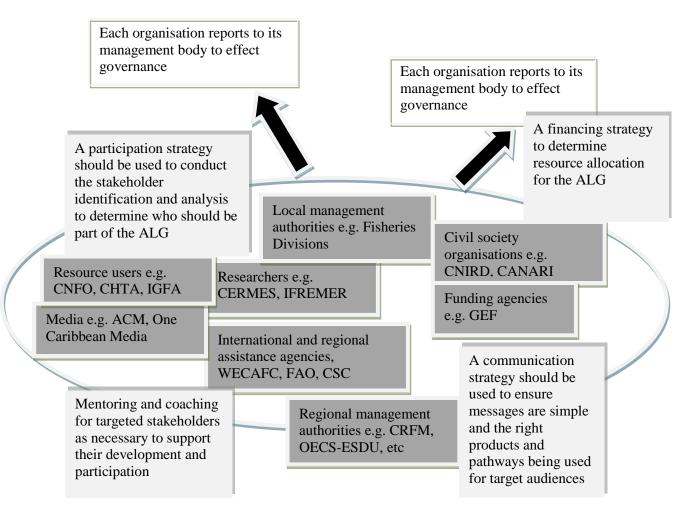
participatory processes but the ALG can be used to identify the target audiences and the pathways. Mentoring and coaching can offer one-on-one support to the stakeholders as they craft messages. The participation strategy can be used to determine the members of a particular ALG while the financing strategy can be used to determine the source of funding for the ALG and the implementation of the communication strategy. The matrix in Appendix 11 shows how the various strategies described above can be used to address the challenges and constraints for stakeholder engagement at the regional and national levels.

4.2 Approaches for stakeholder engagement in governance

It is strongly suggested that policy makers develop a participation strategy to determine which stakeholders should be engaged in the stages of the policy cycle. The figures below are suggestions for structured stakeholder engagement in governance. Institutional arrangements are the focus of another study.

Regional level

An ALG is recommended for structured engagement of stakeholders at the regional level.



Benefits of the approach

- Engages all critical stakeholders in governance
- Adopts a learning approach to stakeholder engagement in regional governance

Challenges of the approach

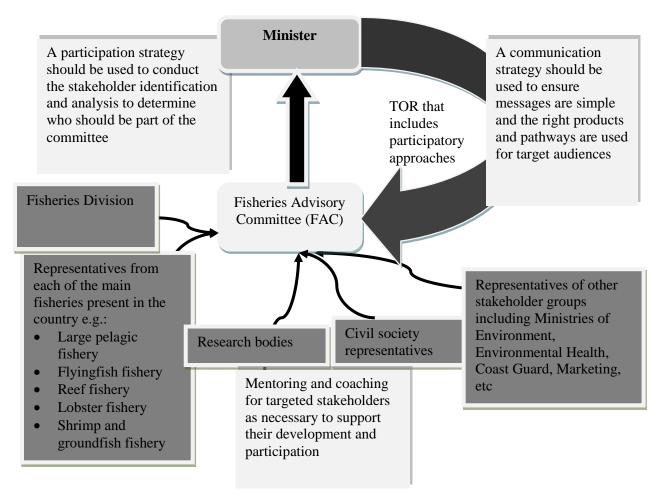
 Not a management body so other institutional arrangements must be made for formal governance

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Figure 4.2 An ALG for stakeholder engagement in governance of the large pelagic fishery

National level

Two types of stakeholder structures are recommended for the engagement of stakeholders at the national level: (i) the re-organisation of existing fisheries advisory committees and boards or (ii) species-specific management committees and boards.



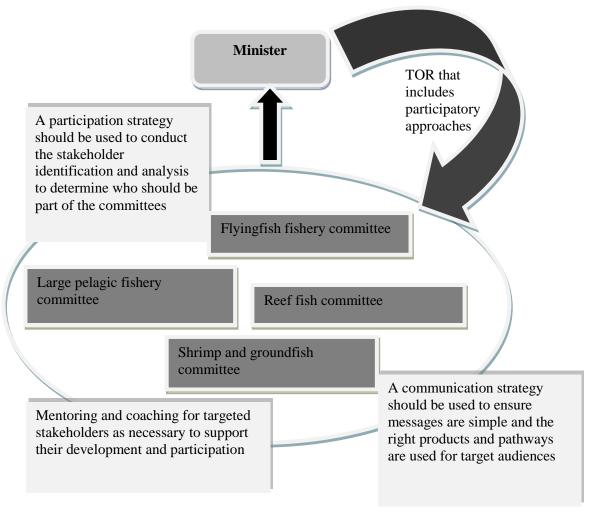
Benefits of the approach

- Low cost in terms of time- some countries already have existing fishery advisory committees and boards that can be adapted to meet EAF needs
- Low cost in terms of funding (limited number of stakeholders involved = lowered cost)
- Includes several types of fishing in one structure so that a true ecosystem approach is practiced.

Challenges of the approach

- Limited number of participants as the size of the committee/board is a limiting factor
- Focus on one particular species would be lost
- Low possibility for the livelihood-driven research

Figure 4.3 Using the fisheries advisory committees and boards as multi-stakeholder committees but with new TORs



Benefits of the approach

- Large number of participants involved
- High possibility for research to be livelihood driven that will have greater buy-in from the stakeholders
- Focussed approach to management since specific to only one species

Challenges of the approach

- Takes time to establish and coordinate the activities of several committees
- Large amounts of funding will be needed to support several species specific committees
- Species specific stakeholder committees may not include all other species that are part of the ecosystem.

Figure 4.4 Species-specific management bodies

5. Conclusions

Stakeholders are generally willing to participate in the governance of the fishery. However opportunities for engagement are not easily identifiable and accessible. The present limited representation of the region in ICAAT limits governance of the fishery in the region. This geopolitical complex region needs a management approach that includes representation of key stakeholders from all member states that can contribute the concerns/issues for management and work together to formulate an agreed approach. The

facilitated, participatory development of a participation strategy could guide the selection of stakeholders to engage in governance of the fishery.

At the regional level, the ALG approach provides a forum for facilitated regional collaborative planning, networking, peer learning and timely implementation of conservation measures taking into account the EAF approach. Within nations the Fisheries Advisory Committees/ Boards have the potential to enable stakeholder participation; however these structures need to be modified through updating the legal and legislative framework which is then translated into Terms of Reference that are more responsive to governance needs. Several supporting actions need to take place for these approaches to be successful. These include:

- Capacity built in the seven areas to ensure that stakeholders can effectively participate, especially focusing of building the capacity of: key organisations to facilitate participatory processes (e.g. national fisheries authorities, CRFM); marginalised stakeholders such as fishers whose voice would otherwise be overwhelmed by the more powerful stakeholders; and organising stakeholders who are not represented in formal associations so that they can have a collective voice;
- Long-term support provided to facilitate the collaborative management process at the regional and national levels by key organisations with that mandate (e.g. CRFM);
- Testing of the collaborative approach as part of EAF, evaluation of lessons, sharing of knowledge (including among countries and between the national and regional levels) and feeding this into ongoing adaptation of participatory management of the fishery; and
- Institutionalisation of the collaborative approach into policies, laws, programmes, and institutional structures.

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Appendix 1: Terms of reference

TERMS OF REFERENCE: Stakeholder Analysis

1. BACKGROUND

1.1 Many of the marine resources in the Caribbean are considered to be fully or over exploited. The Caribbean Large Marine Ecosystem (CLME) Project focuses on assisting Caribbean countries to improve the management of their shared living marine resources through an ecosystem approach. A preliminary Transboundary Diagnostic Analysis (TDA) identified three priority transboundary problems that affect the CLME: unsustainable exploitation of fish and other living resources; the degradation and modification of natural habitats; and pollution and contamination. The final TDA will serve as the scientific basis for the development of an agreed program of interventions for the CLME, otherwise known as a Strategic Action Programme (SAP). The SAP will have a shared vision for the CLME and adjacent regions; agreements will be made regarding the priority interventions, reforms and investments required. The Caribbean Regional Fisheries Mechanism (CRFM) is the responsible agency for carrying out case studies to test governance models at the local, national, sub-regional and regional levels for the flyingfish and large pelagic fisheries.

A. Flyingfish:

The fourwing flyingfish (*Hirundichthys affinis*) fishery is the single most important small pelagic fishery in the southern Lesser Antilles. It is a shared resource which is exploited by seven different States (Barbados, Dominica, Martinique, Grenada, St. Vincent and the Grenadines, St. Lucia, and Trinidad and Tobago). With expanding fleet capacity and limited cooperation among the States exploiting the flyingfish, there is concern that the resource may become overfished. This case study will review and complete the TDA of the fishery and identify and analyse the priority transboundary problems and issues. The policy, legal and institutional reforms needed to address such transboundary issues will also be identified.

B. Large Pelagics:

The region's highly migratory tuna and billfish resources are exploited by Caribbean countries as well as by foreign nations. Unfortunately there is an overall lack of data on the large pelagic fishery throughout the region. Many large migratory pelagic species of nutritional and/or commercial importance to the Caribbean are either not included in the International Commission for Conservation of Atlantic Tunas' (ICCAT) mandate, or are not actively managed by ICCAT (e.g. dolphinfish, blackfin tuna, cero and king mackerels, wahoo and bullet tunas). The development of management strategies to ensure the region-wide sustainability of large pelagic fish, fisheries, and user groups in the Caribbean Sea represents a complex process and requires input from fisheries scientists, policy advisors, resource managers, fishers and other stakeholders. The purpose of this case study is to fill important knowledge gaps that will contribute to the final TDA. This case study will be carried out in the following countries: Barbados, Dominica, Grenada, Martinique, St Lucia, St. Vincent and Grenadines, Trinidad and Tobago, United States and Venezuela. This case study will review and complete the TDA of the fishery and identify and analyse the priority transboundary problems and issues. The policy, legal and institutional reforms needed to address such transboundary issues will also be identified.

1.2 In order for CRFM to successfully carry out each of the case studies, specific objectives and activities must be carried out. A major and necessary component of each of the respective case studies is a stakeholder analysis. Stakeholder analysis is a method for identifying key actors and

their respective characteristics and interests. Such an analysis aids understanding of the behaviour, intentions, interrelations and interests of various stakeholders. The primary objective of a stakeholder analysis is to assess whether a collaborative planning and decision-making process is likely to be successful, to identify challenges that must be met to increase likelihood of success, and identify options and opportunities for development of the collaborative process.

- 1.3 A consultant is required to conduct a stakeholder analysis for each of the flyingfish and large pelagic fisheries in the Caribbean region. The consultant will identify primary and secondary stakeholders and will assess stakeholder capacity, level of influence and willingness to participate in the governance and management processes for the flyingfish and large pelagic fisheries. In executing the task, the Consultant will take into account the need to promote the precautionary and ecosystem-based approaches to fisheries management, and explore options and opportunities for successful structured collaboration/networking among stakeholder groups.
- 1.4 The CLME Project has embraced the ecosystems approach to fisheries (EAF) which requires stakeholder participation at the various policy cycle levels (local, national, regional).
- 1.5 It should also be noted that during the PDF B Phase of the Project a preliminary stakeholder analysis was done for the wider CLME. In addition, The CRFM Secretariat is currently implementing a review of the policy, legal and institutional frameworks for governance and management of the flyingfish and large pelagic fisheries, and UWI-CERMES is currently implementing a review and analysis of the governance framework in the wider CLME. These activities may provide useful complementary information for the present study.

2. OBJECTIVE

- 2.1 The general objectives of this assignment are to:
 - 2.1.1 For each fishery, conduct a regional and country-specific stakeholder analyses;
 - 2.1.2 For each fishery, based on the stakeholder analysis of the two case studies:
 - assess whether a collaborative planning process and management approach is likely to be successful;
 - identify challenges that must be met to increase the likelihood of success; and
 - make recommendations for structured stakeholder collaboration/ networking and participation to improve effectiveness and timeliness of decision-making and implementation regarding conservation taking into account the EAF and precautionary approaches.
- 2.2 Within the general objectives provided in the previous section, the consultancy has the following specific objectives:
 - 2.2.1 For each fishery, identify the stakeholders.
 - 2.2.2 For each fishery, choose a methodology that ensures that stakeholders and their interests are identified using a participatory approach.
 - 2.2.3 For each fishery, identify and evaluate as appropriate:
 - the general and specific interests of each stakeholder or stakeholder group;
 - the present extent of power and influence each individual or group of stakeholders has on each other and on the decision making processes;
 - options and opportunities for each stakeholder group to engage in structured collaboration/ networking and hence formal contribution to the conservation and management of the two identified transboundary fisheries; and

- the challenges and constraints inhibiting full participation by each that stakeholder group in the management process.
- 2.2.4 For each fishery, produce draft reports of the findings and recommendations to the CRFM Secretariat for review. The draft report will, *inter alia*, identify key, primary and secondary stakeholder groups and analyze the present and potential nature and extent of their importance and capacity to influence the decision making process at regional and national levels for conservation and resource management. Recommendations for addressing the challenges and constraints identified, and hence for improving stakeholder collaboration/ networking and participation in the governance and management processes should also be made, taking into account the emerging needs of EAF and the precautionary approach; and the need for timely and effective decision-making. Separate reports will be prepared for the flyingfish and large pelagic fisheries.
- 2.2.5 Present and facilitate discussion on the revised draft reports at a regional workshop of stakeholders (including government officials and regional partners).
- 2.2.6 Produce final reports for each fishery with incorporated feedback from the regional workshop.
- 2.3 CRFM will coordinate and provide administrative support throughout the assignment.

3. EXPECTED OUTPUTS

- 3.1 The following expected outputs of the assignment are:
 - 3.1.1 For each fishery, submission of draft reports of findings and recommendations⁶.
 - 3.1.2 Following review by the CRFM and the two Case Study Steering Committees⁷, preparation and submission of revised reports on stakeholder analysis.
 - 3.1.3 Present and discuss the revised draft reports at a regional validation workshop of stakeholders (regional organisations, government officials, fisher's organisations, NGOs, private sector).
 - 3.1.4 Preparation and submission of final reports on stakeholder analysis for each fishery incorporating the comments and recommendations of the regional validation workshop.

4. TIME ALLOTMENT AND SCHEDULE

4.1 The assignment will be conducted between 5 January, 2012 and March 23, 2012. The consultant will have 40 person days to undertake the assignment as follows:

	Activity	Person days
1	For each fishery, review all existing materials that may be relevant to understanding stakeholders in the study region	4
2	For each fishery, identify stakeholder groups by preparing a list of all organizations, associations, government and non-government groups with an interest in the two fisheries being studied	3
3	For each fishery, develop a stakeholder survey to assess the characteristics and the views of the stakeholder groups. The survey must probe into: • the nature and extent of power and influence each individual or group of stakeholders has on each other and on decision making	4

⁶ Separate reports will be prepared and submitted for the flyingfish fishery and the large pelagic fishery

⁷ The CRFM Secretariat may submit the draft report to the Case Study Steering Committee for comments

4	 processes; how stakeholder groups view their options and opportunities to contribute to the regional management process; and any challenges and constraints inhibiting full participation by stakeholder groups in the management process. For each fishery, distribute survey to stakeholders / conduct stakeholder	9
	interviews	
5	For each fishery, compile and analyze survey results	5
6	For each fishery, prepare draft reports of findings and recommendations. Information on primary and secondary stakeholders and an assessment of stakeholder importance and influence, and potential capacity for structured collaboration/ networking and participation in the management process should be included in the report. The report should also identify and address any challenges and constraints inhibiting full participation by stakeholder groups in the management process	7
7	Circulate draft reports to CRFM ⁸ for review and feedback	
8	For each fishery, prepare revised reports based on feedback received from the CRFM Secretariat (this will include feedback from CLME Case Study Steering Committees)	3
9	Present and discuss the revised reports at a regional workshop of key stakeholders	2
10	For each fishery, make a final report to include comments received from the workshop	3
	Total person days	40

4.2 The deadline for submission of the revised, final report is on or before The Completion Date specified in the Contract.

5. REQUIRED EXPERTISE

The consultants are required to have an advanced degree (MSc or PhD) in sociology, socioeconomics, or resource management or related areas and practical experience working with fishing communities and related stakeholder groups. Knowledge of fisheries management is required. Knowledge of fisheries management in the Caribbean Region is desirable.

⁸The CRFM may distribute the draft reports to the Case Study Steering Committees for comments prior to submitting final comments to the consultant(s).

Appendix 2: Survey form

Stakeholder survey: Flyingfish fishery/ Large pelagic fishery

(Delete the fishery that does not apply if applicable to one fishery only) Organisation name: _ Address: **Contact information** Telephone number: _ Fax. number: E-mail address: Website: 1. How do you categorise yourself / your organisation as a stakeholder? Geographic scope of operation: International National Local/Community Regional Sector: Government Private sector Academia Specify type: Inter-governmental Non-governmental Fisher organisations organisation agency Specify type: 2. What is your primary type of activity? Legislative Advisory Supply of goods Research П П П Subsistence fisher Fish Processing Marketing Sport Fisher Government fishery manager Food health Conservation П Funding/lending agency Tourism Other \Box (specify): 3a. Are you / is your organisation a member of any national/regional committees or multistakeholder groups relevant to the fishery? No Yes П 3b. If your answer to 3a is yes, please specify the name of the committee(s) or multistakeholder group(s) and its/their main focus/interest/activity below: 4. Do you feel that your voice in this fishery has fair opportunity to be heard? 5a. Have you / has your organisation been contacted in the past to contribute to the development or amendment of legislation, policies or plans pertaining to the fishery? 5b. If your answer to 5a is yes, please specify the following: • What were the particular laws/policies/plans? • Did you feel you had a fair voice? Yes □ No □ Explain your answer: • Did you feel your input was taken into consideration in the final decision? Yes □ No □ Explain your answer: 6. How would you rank your level of participation in the decision-making processes pertaining to this fishery? (always involved in stakeholder consultations, sit on national committees) П Medium (occasionally involved in stakeholder consultations) (rarely involved in stakeholder consultations) Low None (never involved in stakeholder consultations)

processes proces	you consider pertaining to thi t sought and alw ut sometimes so t rarely sought b t never sought a	s fishery? ays factored int ught and occas ut not necessar	o the decisionally facto	on making) red into the d nto the decis	ecision making) ion making)	
fishery?	ı willing to par	ticipate in the	decision r	making prod	esses pertaini	ing to this
Yes	No □					
Please	specify	why	or	why	not	willing.
the fishery? Believe that Actively seel Have clearly internally and Can adapt to Can form an Have the technol fishery 10. What ar influence or (tick any whi Lack of fund Unwilling to or Process is to Meeting/sett	d maintain relation chnical skills and cology, equipment of the obstacle of th	pply.) You: ould participate olders participate responsibilities side the organis onships with ind knowledge on t t, materials and s (if any) that on in the decision Lack of information in unsuitate Process is com	in decision-rate in decisions, vision are sation are sation but the fishery defined funding to the fishery on making-sation are sation are sation.	making n-making nd/or mission pups, etc. participate processes p	in the manager	nicate both nent of the anisation's
contribution (tick any whi Invitation to a Funding to e Suitable loca More comfor Other (speci	are positive en n to the decision ch apply) attend/participate nable attendance ation of meeting reable meeting/se	n-making proces in discussions e (stipend) etting	esses perta	Access to Suitable ti Less "top- Simple pro	information me of meeting down" ccess/ language	
	or your participat Plea	se return your o			to:	CANARI
or Loiza Rauzd loiza@canar	luel, CANARI <u>i.orq</u>			-		

Appendix 3: Definition of key terms used in the document

Term	Meaning	
Action learning	Action learning is a process that involves a small group working on real problems, taking action, and learning while doing so. It is a powerful programme that creates dynamic opportunities for individuals, teams, leaders and organisations to successfully adapt, learn and innovate.	
Coaching	Coaching is the process of helping a person or organisation to build specific skills, knowledge and experience through hands-on support provided in the execution of a task.	
Communication	Communication is a two-way process of sharing information with, and getting information from, key target audiences.	
Facilitation	Facilitation is a process of guiding and supporting people to learn, find a solution, or reach a consensus, without imposing or dictating the result. Facilitation works to empower individuals or groups to learn for themselves or find their own answers to problems without control or manipulation.	
Key stakeholder	Defined as individuals, groups and organisations perceived as having the "highest level of rights to, responsibilities for and interests in the resource. [A key stakeholder is] the one most likely to affect (positively or negatively) the outcomes" (CANARI, 2011)	
Mentoring and mentors	Mentoring is a process of sharing knowledge, skills, experiences, insights and opinion to provide strategic advice and guidance to help people make decisions to achieve their desired objectives. Mentors are trusted counsellors or advisors.	
Participation	Participation in the context of natural resource management can be described as a process that: • facilitates dialogue among all actors; • mobilises and validates popular knowledge and skills; • encourages communities and their institutions to manage and control resources; • seeks to achieve sustainability, economic equity and social justice; and • maintains cultural integrity (Renard and Valdés-Pizzini, 1994).	
Primary stakeholder	Defined as individuals, groups and organisations perceived as having a direct impact on the resource or are directly impacted by the resource	
Secondary stakeholder	Defined as individuals, groups and organisations perceived as having an indirect impact on the resource or are indirectly impacted by the resource	
Stakeholders	Individuals, groups and organisations that are involved in or may be affected by a change in the conditions governing the management and use of a resource. They also have rights to, responsibilities for and interests in a resource (CANARI, 2011).	

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⁹ Renard, Y. & M. Valdés-Pizzini. 1994. Hacia la participación communitarian: lecciones y principios guías en el Caribe. Paper presented at the Workshop on Community Participation in Environmental Management and Co-management in the Dominican Republic. Santo Domingo, Dominican Republic 27 – 29 October, 1994. CANARI Communication no. 55:8 pp.

Appendix 4: Capacity, willingness and levels of influence analyses

1.1 Capacity analysis

The capacity of each key stakeholder was analysed using the seven elements of capacity for participation as identified by CANARI (Krishnaryan, Geoghegan, & Reynard, 2002). These were:

- World view/philosophy: General belief that stakeholders should participate in governance and management (i.e. values, attitudes, principles and beliefs of respect for all people and the contribution that they can make. Trust and openness to allow other people to play an equitable role in decision-making).
- *Culture*: Willingness to work with other stakeholders towards shared objectives and a belief that this can be effective. Demonstrable history of working with other stakeholders.
- *Organisational structure:* Communication channels to receive input, share information, and facilitate discussion, debate and negotiation internally and with partners. Clear definition of roles, functions, lines of communication and mechanisms for accountability.
- Adaptive culture and strategies: Practices and policies so that structures and mechanisms can be adapted to respond to changes in the natural resource being managed, the patterns of use of this resource, and the needs, interests, roles and responsibilities of all of the stakeholders involved.
- *Linkages*: An ability to develop and manage relationships with individuals, groups and organisations. Multi-disciplinary and inter-sectoral approaches bringing together government agencies, academia, private sector, NGOs, CBOs, communities and individuals.
- *Skills, knowledge and abilities (competence):* Technical skills and knowledge in the specific management area how to effectively facilitate or engage in participatory processes (e.g. communication and interpersonal skills, ability to negotiate, ability to speak clearly and communicate effectively in front of a large group).
- *Material*: Technology, equipment, materials and finance to support the effective implementation of the participatory process, with equitable allocation among stakeholders.

The seven elements of capacity were used to rank the capacities of the stakeholders by noting the presence of the elements. The elements present were counted and used to rank the capacities as high medium or low in the following manner:

- Low capacity= 3 or less elements of capacity
- **Medium capacity**= 4 or 5 elements of capacity
- **High capacity**= 6 or 7 elements of capacity

1.2 Willingness analysis

Participants in the study were simply asked to explain why they were willing or unwilling to participate in management and governance of the resource. The information was noted.

1.3 Levels of influence

Participants were shown a triangle where the apex of the triangle represented the most influence on management and governance and the base represented the lowest level of influence (CANARI, 2011). The participants were asked to indicate the stakeholder's position on the triangle and explain why the stakeholder was placed there.

Appendix 5: Stakeholders contacted for the study

Name of stakeholder	Participated	Did not participate	
Barbados	·	<u> </u>	
Barbados Hotel and Tourism Association	X		
Barbados National Union of Fisherfolk Organisations	X		
Centre for Resource Management and Environmental Studies	X		
Fisheries Advisory Committee		X	
Food and Agriculture Organization of the United Nations	X		
Gaming Fishing Association of Barbados	X		
Individual fishers	X		
Ministry of Agriculture	X		
Ministry of Foreign Affairs and Foreign Trade	X		
Oistins Fisherfolk Association	X		
Western Central Atlantic Fishery Commission	X		
Grenada			
Agency for Rural Transformation Limited	X		
Budget Marine Spice Island Billfish Tournament Committee	X		
Department of Cooperatives	X		
Gouyave Improvement Committee		X	
Grenada Board of Tourism	X		
Grenada Bureau of Standards	X		
Grenada Chamber of Industry and Commerce		X	
Grenada Coast Guard	X		
Grenada Community Development Agency	X		
Grenada Cooperative Nutmeg Association	X		
Grenada Development Bank	X		
Grenada Food and Nutrition Council		X	
Grenada Hotel and Tourism Association		X	
Grenada Manufacturing Council		X	
Grenada Scuba Diving Association	X		
Marine and Yachting Association of Grenada		X	
Ministry of Agriculture, Forestry and Fisheries			
Ministry of Carriacou and Petite Martinique Affairs		X	

Ministry of Finance, Planning, Economy, Energy and Co-operatives	X	
Ministry of Foreign Affairs	X	
Ministry of Legal Affairs		X
Ministry of the Environment, Foreign Trade and Export Development	X	
Ministry of Works, Physical Development and Public Utilities		X
National Development Foundation		X
Nordom Seafood Limited	X	
Soubise Fishermen Co-Operative	X	
Southern Fishermen Association	X	
St. George's University Marine Station		X
St. John's Fishermen Association	X	
St. Patrick's Environmental Conservation and Tourism Organisation		X
Willie Redhead Foundation		X
Martinique		
French Institute for the Exploration of the Sea (IFREMER)	X	
Directorate General for maritime Affairs and Fisheries	X	
Martinique Billfish Association	X	
Ecole de Formation Professionnelle Maritime et Aquacole	X	
Directoire de la mer	X	
Milieu Marin Martiniquais	X	
Martinique Régional Conseil	X	
Maritime Affairas	X	
Fishing Committee	X	
Comité Régional des Pêches Maritimes et des Elevages Marins (CRPMEM)	X	
Le Syndicat des artisans marins pêcheurs et éleveurs marins (SAPEM)	X	
Le Marin Pécheur	X	
St. Vincent and the Grenadines		
Central Water and Sewerage Authority		X
Goodwill Fishermen's Cooperative Society Limited	X	
Maritime Administration	X	
Ministry of Agriculture, Rural Transformation Forestry and Fisheries	X	
Ministry of Foreign Affairs, Foreign Trade and Consumer Affairs	X	
Ministry of Health, Wellness and the Environment	X	
Ministry of Tourism, Sports and Culture	X	
National Fisheries Market Limited	X	

National Parks, Rivers and Beaches Authority	X	
Southern Grenadines Water Taxi Association		X
Sport Fisher	X	
St. Vincent and the Grenadines Bureau of Standards	X	
St. Vincent and the Grenadines Coast Guard	X	
St. Vincent and the Grenadines Hotel and Tourism Association		X
St. Vincent and the Grenadines National Trust	X	
St. Vincent Electricity Services Limited		X
Sustainable Grenadines Inc.	X	
SVG Chamber of Industry and Commerce		X
The St. Vincent and the Grenadines Cooperative Bank Ltd (Penny Bank)	X	
The St. Vincent Co-operative Bank Limited	X	
The Vincentian		X
Tobago Cays Marine Park		X
Trinidad and Tobago		X
Agricultural Development Bank	X	
All Tobago Fisherfolk Association	X	
Blanchisseuse Fisherfolk and Marine Life Association	X	
Buccoo Reef Trust	X	
Budget Marine		X
Caribbean Fisheries Training and Development Institute	X	
Caribbean Network for Integrated Rural Development		X
Environmental Management Authority	X	
Fishermen and Friends of the Sea		X
Institute of Marine Affairs	X	
Maritime Services Division	X	
Ministry of Community Development		X
Ministry of Food Production, Land and Marine Affairs	X	
Ministry of Foreign Affairs and Communication		X
Ministry of Legal Affairs		X
Ministry of Trade and Industry		X
National Agricultural Marketing and Development Corporation		X
Non-artisanal fishers	X	
Other fish processors		X
Seafood Industry Development Company		X

Tobago House of Assembly	X	
Tobago Sport Fishing Association	X	
Tourism Development Company		X
Trinidad and Tobago Chamber of Commerce		X
Trinidad and Tobago Coast Guard	X	
Trinidad and Tobago Electricity Commission		X
Trinidad and Tobago Game Fishing Association		X
Trinidad and Tobago Industrial Fishers Association	X	
Trinidad and Tobago Manufacturing Council		X
Trinidad and Tobago Unified Fisherfolk	X	
Trinidad Seafoods Limited	X	
Water and Sewerage Authority		X
Regional stakeholders		
Association of Caribbean Media Workers		X
Association of Caribbean States- Caribbean Sea Commission	X	
Caribbean Agricultural Research and Development Institute		X
Caribbean Alliance for Sustainable Tourism		X
Caribbean Community Secretariat		X
Caribbean Conservation Association		X
Caribbean Environmental Health Institute		X
Caribbean Farmers Network		X
Caribbean Hotel and Tourism Association		X
Caribbean Maritime Institute		X
Caribbean Policy Development Centre		X
Caribbean Regional Fisheries Mechanism	X	
Caribsave		X
CARICOM Single Market and Economy		X
Gulf and Caribbean Fisheries Institute		X
Intergovernmental Oceanic Commission Sub-Commission For The Caribbean And Adjacent		X
Regions		
International Commission for the Conservation of Atlantic Tunas (ICCAT)		X
International Game Fishing Association		X
International Maritime Organisation		X
International Union for Conservation of Nature		X
Island Resources Foundation		X

Japan International Cooperation Agency		X
National Oceanographic and Atmospheric Administration		X
Organisation of American States		X
Organisation of Eastern Caribbean States- Environment and Sustainable Development Unit		X
The Nature Conservancy		X
United Nations Development Programme		X
United Nations Environment Programme- Caribbean Environment Programme		X
	74	64

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Appendix 6: Regional stakeholders in the governance of the large pelagic fishery
Table 0.1 Primary, secondary and key stakeholders at the regional level in the large pelagic fishery in the Wider Caribbean

Level of interaction	Type of organisation	Primary stakeholder organisations	Secondary stakeholder organisations
International	Intergovernmental		 United Nations Office of Legal Affairs/ Division for Ocean Affairs and the Law of the Sea (UN DOALOS) Technical Centre for Agricultural and Rural Cooperation (CTA) United Nations Environmental Programme (UNEP) United Nations Development Programme (UNDP) Global Environment Facility Food and Agriculture Organization (FAO) International Maritime Organisation (IMO) Directorate-General for Maritime Affairs and Fisheries (DG-MARE) International Commission for the Conservation of Atlantic Tunas (ICCAT) Contracting Parties and Secretariat National Oceanographic and Atmospheric Administration (NOAA)
International	Government		 Japan International Cooperation Agency (JICA) Canadian International Development Agency (CIDA) US Agency for International Development (USAID)
International	Fisherfolk organisations	International Game Fishing Association (IGFA)	
International	Private Sector	• Fishers from other countries fishing within the region (e.g. Taiwan)	Inter-American Development Bank (IADB)
International	Non-governmental organisations		 The Nature Conservancy (TNC) International Union for Conservation of Nature (IUCN)
Regional	Intergovernmental	 Caribbean Regional Fisheries Mechanism (CRFM) Caribbean Fishery Management Council (CFMC) 	 Association of Caribbean States/ Caribbean Sea Commission (ACS/CSC) Organisation of Eastern Caribbean States Environment and Sustainable Development Unit (OECS ESDU) Caribbean Large Marine Ecosystem Project (CLME) Caribbean Agricultural Research and Development Institute (CARDI) Caribbean Community (CARICOM)

Level of interaction	Type of organisation	Primary stakeholder organisations	Secondary stakeholder organisations
			Organisation of American States (OAS)
			• Latin American Organisation for Fisheries Development (OLDEPESCA)
			• Fishing and Aquaculture Organisation of Central America (OSPESCA)
			Caribbean Single Market and Economy
			Intergovernmental Oceanic Commission Sub-Commission For The Caribbean And Adjacent Regions (IOCARIBE)
			Western Central Atlantic Fishery Commission (WECAFC)
			and the Working Groups on recreational fishing and deep sea fishing
			Caribbean Single Market and Economy
Regional	Non-governmental organisations		Caribbean Natural Resources Institute (CANARI)
			Caribbean Conservation Association (CCA)
			Gulf and Caribbean Fisheries Institute (GCFI)
			Caribbean Marine Protected Area Management Network and Forum (CaMPAM)
			Caribbean Alliance for Sustainable Tourism (CAST)
			Caribbean Hotel and Tourism Association (CHTA)
			Caribbean Youth Environmental Network (CYEN)
			Island Resources Foundation (IRF)
			Association of Caribbean Media Workers (ACM)
			Caribbean Network for Integrated Rural Development (CNIRD)
			Caribbean Policy Development Centre (CPDC)
			Caribbean Farmers Network (CaFAN)
			Caribsave
Regional	Fisherfolk organisations	Caribbean Network of Fisherfolk Organisations (CNFO)	
Regional	Private Sector	 Individual fishers (within and not within associations) Fish processors and exporters(e.g. Nordom Seafood Ltd, Trinidad Seafoods Ltd) 	 Fishing equipment suppliers (e.g. Budget Marine) Caribbean Development Bank (CDB)
Regional	Academia/ research		Caribbean Agricultural Research and Development Institute (CARDI)

Level of interaction	Type of organisation	Primary stakeholder organisations	Secondary stakeholder organisations
			• University of the West Indies/ Centre for Resource
			Management and Environmental Studies (UWI/CERMES)
			University of the French Antilles and Guyana (UAG)
			Caribbean Maritime Institute (CMI)
			 Caribbean Fisheries Training and Development Institute (CFTDI)
			• Institut français de recherche pour l'exploitation de la mer (IFREMER)
			Institute of Marine Affairs (IMA)

Key stakeholders

- Caribbean Regional Fisheries Mechanism
- Caribbean Community (CARICOM)
- Caribbean Network of Fisherfolk Organisations
- International Commission for the Conservation of Atlantic Tunas
- National Oceanographic and Atmospheric Administration
- Organisation of Eastern Caribbean States Environment and Sustainable Development Unit
- Centre for Resource Management and Environmental Studies
- Food and Agriculture Organisation of the United Nations
- Caribbean Fishery Management Council
- Intergovernmental Oceanic Commission Sub-Commission For The Caribbean And Adjacent Regions (IOCARIBE)
- Western Central Atlantic Fishery Commission and the working groups on recreational fishing and deep sea fishing

Table 0.2 Capacity analyses of stakeholders at the regional level of governance of the large pelagic fishery in the Wider Caribbean

Caribbean States- Caribbean Sea Commission Commission has not been established. Commission Commission has not been established. Commission Commission has not been established. Commission The management of the CSC planning (e.g. within the ACS, the CSC was driven by the previous previous the previous Director whose term recently	Name of stakeholder	World view	Culture	Structure Structure	Adaptive strategies	Linkages	Skills	Material resources	Overall capacity
organisations organisation (RFO) is fairly new. long and maintain individuals and organisations. Has not performed it so structure is not complete. Organisation has not legal standing within member countries and has to work through NFOs. Not all member countries and has to work through NFOs. Not all member countries have national fisherfolk organisations. Geography poses a challenge to the establishment of the RFO (many small islands). Association of Caribbean Sea Commission Caribbean States-Caribe an Sea Commission Organisation (RFO) is fairly individuals and organisations (dependent on frew individuals and organisations. Has not been spart of its so tructure is not complete. Organisation for the CSC active. Unsure of the CSC assistance is little succession planning (e.g., within the ACS, the CSC active.) Individuals and organisations with others with others with others and with others can affect the quality of relationships with o	S								High
Caribbean States- Caribbean Sea Commission Commission has not been established. Commission Commission Commission has not been established. Commission Commission The management of the CSC planning (e.g. within the ACS, the CSC was driven by the previous Director whose term recently	of Fisherfolk			organisation (RFO) is fairly new. Not all member countries are part of it so structure is not complete. Organisation has no legal standing within member countries and has to work through NFOs. Not all member countries have national fisherfolk organisations. Not well known to many of the primary fisherfolk organisations. Geography poses a challenge to the establishment of the	dependent on few individuals and organisations. Has not yet demonstrated	and maintain relationships with others but sporadic communication internally and with others can affect the quality of relationships with		long-term funding. Members need to become more comfortable using technology to facilitate communication among members and with other stakeholders to keep the costs of operating	Low
Food and Agriculture High	Caribbean States- Caribbean Sea Commission			Commission has not been established. The management of the CSC rests on a few organisations like CERMES. Very few countries within the	adaptive capacity of the CSC. There is little succession planning (e.g. within the ACS, the CSC was driven by the previous Director whose				Medium

Name of stakeholder	World view	Culture	Structure	Adaptive strategies	Linkages	Skills	Material resources	Overall capacity
Organisation of the United Nations								
Caribbean Fisheries Training and Development Institute								High
Centre for Resource Management and Environmental Studies								High
Caribbean Natural Resources Institute					CANARI is not well-known outside of its main stakeholders. Organisation needs to be more visible.		Lacks funding to participate in decision-making consistently. Internal management measures make participation in formal decision-making an expensive undertaking for the small organisation.	High
Western Central Atlantic Fishery Commission							Needs further funding	High

Highlighted cell indicates the presence of an element.

Table 0.3 Willingness of stakeholders to participate in governance of the resource at the regional level

Who is the stakeholder?	Main activity associated with the resource	Willingness of stakeholder to participate in management
Caribbean Regional Fisheries Mechanism	Legislative Advisory Research Government fishery manager Conservation of the resource Coordinate and promote fisheries development	Facilitating management of the resource is part of the CRFM's legal mandate
Caribbean Network of Fisherfolk Organisations	Fishing/ fishers	Traditional users of the resource
Association of Caribbean States- Caribbean Sea Commission	Regulate how the Caribbean Sea and the living marine resources are used and managed to ensure sustainable development	Willing
Food and Agriculture Organisation of the United Nations	Advisory Technical and policy assistance	Willing
Caribbean Fisheries Training and Development Institute	Training Education and awareness	Participation via training activities will facilitate the widespread use of sustainable fish capture and fishing gear technologies. Training in processing skills will allow for diversification in the sector and relieve the pressure of overfishing. Unsure if willing to participate in overall management of the resource.
Centre for Resource Management and Environmental Studies	Research	Part of the UWI mandate. Individual interest of staff members
Caribbean Natural Resources Institute	Advisory Conservation Wise use of the resource so that users benefit	Interested in ensuring that processes employed are truly participatory.
Western Central Atlantic Fishery Commission	Advisory	Established working group on recreational fishing and deep sea fishing

Appendix 7: Primary, secondary and key stakeholders in the fishery at the national level

Table 0.1 Primary, secondary and key stakeholders in the fishery in Barbados

Level of operation	Type of organisation	Primary stakeholders	Secondary stakeholders
International	Intergovernmental		 Inter-American Institute for Cooperation on Agriculture (IICA) Food and Agriculture Organisation (FAO)
Regional	Intergovernmental	Caribbean Regional Fisheries Mechanism (CRFM)	 WECAFC The Caribbean Centre for Development Administration (CARICAD) CARDI
Regional	Fisherfolk organisation	Caribbean Network of Fisherfolk Organisatio (CNFO)	on
Regional	Academia		• Centre for Resource Management and Environmental Studies (CERMES), University of the West Indies
Regional	Non-governmental organisation		Caribbean Conservation Association (CCA)
National	Government	 Fisheries Advisory Committee (Ministry of Agriculture) Fisheries Division – Ministry of Agriculture Coastal Zone Management Unit 	 Markets Division – Ministry of Agriculture Planning Unit – Ministry of Agriculture Ministry of Health Ministry of the Environment Ministry of Foreign Affairs Ministry of Tourism Energy Division, Office of the Prime Minister
National	Private sector	FisherfolkBoat owners	 Insurance companies Banks Game fishing (chartered boats) Oistins Bay Garden (recreational area and entertainment facility) Fish processors: (e.g. Lashley & Waithe Fish Processors Inc., Ocean Fisheries Ltd., Central Fish Processors) Fish vendors Gas/ service stations Consumers

Level of operation	Type of organisation	Primary stakeholders	Secondary stakeholders
			Fishing equipment suppliers
National	Fisherfolk organisation	 Barbados National Union of Fisherfolk Organisations (BARNUFO) Oistins fisherfolk organisation Weston fisherfolk organisation Conset Bay fisherfolk organisation Sanpit fisherfolk organisation Six Men's fisherfolk organisation Barbados Game Fishing Association (BGFA) 	
National	Non-governmental		 Barbados Hotel and Tourism Association (BHTA) Barbados Marine Trust Barbados Agricultural Society

Key stakeholders

- Fisheries Division
- Consumers
- Boat owners
- Fisherfolk
- Vendors
- Processors
- Fuel pumps
- BARNUFO
- PFOs
- FAC
- Markets Division, Ministry of Agriculture
- Tackle shops
- CERMES
- Ministry of Health
- CRFM

Table 0.2 Primary, secondary and key stakeholders in the fishery in Grenada

Level of interaction	Type of organisation	Primary stakeholder organisations	Secondary stakeholder organisations
International	Intergovernmental		 United Nations Education, Scientific and Cultural Organisation (UNESCO) United Nations Development Programme (UNDP) Food and Agriculture Organisation (FAO) Inter-American Institute for Cooperation on Agriculture (IICA)
Regional	Intergovernmental	Caribbean Regional Fisheries Mechanism (CRFM)	Organisation of Eastern Caribbean States- Environment and Sustainable Development Unit (OECS-ESDU)
Regional	Private sector		Eastern Caribbean Central Bank (ECCB)
Regional	Non-governmental organisation		Sustainable Grenadines Inc. (SusGren)
National	Government	 Ministry of Agriculture, Forestry and Fisheries Fisheries Division 	 Grenada Industrial Development Corporation (GIDC) Grenada Ports Authority Grenada Airports Authority National Development Foundation Grenada Rural Enterprise Project Grenada Micro Enterprises Project Grenada Food and Nutrition Council Grenada Bureau of Standards Marketing and National Importing Board Ministry of Carriacou and Petite Martinique Affairs Ministry of Health Ministry of Environment, Foreign Trade and Export Development Ministry of Housing, Lands and Community Development Ministry of Legal Affairs Ministry of Tourism and Civil Aviation Ministry of Works, Physical Development and Public Utilities Grenada Board of Tourism

Level of interaction	Type of organisation	Primary stakeholder organisations	Secondary stakeholder organisations
			 National Water and Sewerage Authority (NAWASA) Government Information Service Royal Grenada Police Force Grenada Coast Guard Land Development Control Authority Ministry of Foreign Affairs
National	Fisher organisations	 Calliste Divers Cooperative Duquesne Fishermen Cooperative Melville Street Fishermen Organisation Petit Martinique Fishermen Cooperative Sautuers Fisherman Association St. Andrew's Fishermen Association St. John's Fishermen Association Soubise Fishermen Cooperative Southern Fishermen's Association Spice Island Bill fish Tournament Committee 	
National	Private sector	 Nordom Seafood Alex Swan Ltd. Caribbean Seafoods Limited Spice Isle Fish House Limited Southern Fishermen Association Inc Fish exporters Fish vendors 	 Grenada Broadcast Grenada Manufacturing Council Grenada Chamber of Industry and Commerce Industrial Development Corporation (IDC) Grenada Development Bank James Finlay Roberts Consulting Budget Marine Grenada Land 'N' Sea Marine World Ltd Island Water World Shorebase Marine
National	Non-governmental organisations		 Agency for Rural Transformation (ART) Grenada Community Development Agency (GRENCODA) Gouyave Improvement Committee (GIC) St. John's Social and Cultural Organizations (SJSCO)

Level of interaction	Type of organisation	Primary stakeholder organisations	Secondary stakeholder organisations
			St. Andrew's People's Maroon Association
			 Young Americas Business Trust (YABT)
			Friends of the Earth
			• Grenada Citizen Advice and Small Business Agency (GRENCASE)
			• Inter Agency Group of Development Organizations (IAGO)
			Grenada Hotel and Tourism Association
			Grenada Sailing Association
			• The Marine and Yachting Association of Grenada (MAYAG)
			Grenada Scuba Diving Association (GSDA)
			Carriacou and Petite Martinique Water Taxi Association
National	Academia/ research		St. George's University
			T.A. Marryshow Community College

Key stakeholders

- Fisheries Division
- Fishers
- Fish processors
- Ministry of Health
- Caribbean Regional Fisheries Mechanism
- Grenada Coast Guard
- ART
- Board of Tourism
- Financial institutions (e.g. Grenada Development Bank)
- Ministry of Foreign Trade and the Environment
- Ministry of Foreign Affairs
- Ministry of Legal Affairs

Table 0.3 Primary, secondary and key stakeholders in the fishery in Martinique

Level of operation	Type of organisation	Primary stakeholders	Secondary stakeholders
International	Inter-governmental		 West Central Atlantic Fisheries Commission (WECAFC) Directorate-General for Maritime Affairs and Fisheries, European Union (DG-MARE) Organisation of Eastern Caribbean States-Environment and Sustainable Development Unity (OECS ESDU)
Regional	Academia	• IFREMER	 University of the Antilles and Guyana Institute for Research and Development Research Centre for local powers in the Caribbean Maritime training school
National	Fisherfolk organisations	 Association Amicale des Marins-Pêcheurs du Carbet Association des Marins-Pêcheurs de Grand Rivière Association des Marins-Pêcheurs de Case Pilote Association des Marins-Pêcheurs de Schoelcher Association des Marins-Pêcheurs de Trinité Association des Marins-Pêcheurs de Bellefontaine Association des Marins-Pêcheurs de Californie Association des Marins-Pêcheurs de St Pierre Association des Marins-Pêcheurs de Ste Anne Association des Marins-Pêcheurs du Robert Association des Marins-Pêcheurs Ti tak pou yo Association des Marins-Pêcheurs du Vauclin Confédération des Associations de Marins-Pêcheur et d'élevages marins de la Martinique - Case Pilote Regional Committee for Fisheries and Marine Breeding 	
National	Government	 General Council Regional Council Directorate for Marine Affairs 	Division for Fisheries, Maritime affairs and Aquaculture (Ministry of Food and Agriculture, Fisheries, and Land planning)

			 Ministry for Ecology, Sustainable development, Transport and Housing National Committee for Fisheries Ministry for Agriculture, Food, Fisheries, Rural Affairs and Land Planning
National	Private sector	• Fishers	 Maritime Bank Construction and maintenance sector Vendors Aquaculture producers rights organisation Restaurant industry Aquaculture producers Banks Importers Chamber of Commerce and Industry of Martinique Consumers
National	Non-governmental organisations		Co-operative Society for Victualling

Key stakeholders:

- Regional Council of Martinique –Commission for Fisheries and Agriculture
- Fisherfolk
- Fisherfolk organisations
- Regional Committee for Fisheries and Marine Breeding
- Directorate for Marine Affairs Direction de la Mer
- Vendors
- WECAFC
- Co-operative Society for Victualling
- IFREMER
- Consumers
- Inter-City Cooperation Agency
- Construction and maintenance sector shipbuilding
- Maritime Training School
- Maritime Bank
- General Council of Martinique

Table 0.4 Primary, secondary and key stakeholders in the fishery in St. Vincent and the Grenadines

Level of operation	Type of organisation	Primary stakeholders	Secondary stakeholders
International	Intergovernmental		 Global Environmental Facility Small Grants Committee Organisation of American States Inter-American Institute for Cooperation on Agriculture
International	Government		Japan International Cooperation Agency (JICA)
Regional	Intergovernmental	Caribbean Regional Fisheries Mechanism (CRFM)	Organisation of Eastern Caribbean States Environment and Sustainable Development Unit (OECS-ESDU)
Regional	Fisherfolk organisation	Caribbean Network of Fisherfolk Organisations	
Regional	Private sector	• Fishers from other nations including the Caribbean that harvest in the country's water (legally and illegally)	
National	Government	 National Fish Market Limited SVG Coast Guard Service Ministry of Agriculture, Rural Transformation, Forestry and Fisheries Fisheries Division 	 Cooperatives Department St. Vincent and the Grenadines Bureau of Standards Maritime Administration National Parks, Rivers and Beaches Authority Ministry of Foreign Affairs, Foreign Trade and Consumer Affairs Ministry of Finance and Economic Planning Ministry of National Security, Air and Sea Ports Development Energy Unit Police Service Ministry of Transport, Works, Urban Development and Local Development Ministry of Legal Affairs Ministry of Tourism and Industry Ministry of Health, Wellness and the Environment St. Vincent and the Grenadines Tourism Authority Environmental Services Unit

Level of operation	Type of organisation	Primary stakeholders	Secondary stakeholders
			 Physical Planning Department Tobago Cays Marine Park Central Water and Sewerage Authority VINLEC
National	Fisherfolk organisation	 Goodwill Fishermen's Cooperative Society Limited Calliaqua Fishers Cooperative (Calfico) Barrouallie Fisheries Development Cooperative 	
National	Private Sector	 Sport fishers (Greg Allen) Fishers that may not be in a in cooperative or association Fishers harvesting other species Other fish vendors (no organised group) Other fish processors (no organised group) 	 The St. Vincent and the Grenadines Cooperative Bank Ltd "Penny Bank" St. Vincent Chamber of Industry and Commerce Daffodil's Marine Services Erika's Marine Services Wallace and Co Fishing and Yachting Supplies Searchlight Newspaper The Vincentian The St. Vincent and the Grenadines Hotel and Tourism Association Bank of St. Vincent and the Grenadines Bequia Tourism Association Bequia Indigenous Whalers Association Southern Grenadines Water Taxi Association
National	Non-government organisation		 St. Vincent National Trust Friends of the Environment Keepers of the Environment Sustainable Grenadines Inc. Caribbean Youth Environment Network Mustique Indigenous Peoples Association Mayreau Environmental Development Organisation Union Island Community Services Union Island Environmental Attackers Union Island Environmental Action Programme Canouan Sailing Club Mayreau Regatta Committee

Level of operation	Type of organisation	Primary stakeholders	Secondary stakeholders
			St. Vincent and the Grenadines Coalition of Service
			Industries
Key stakeholders			
 Fisheries Division 			
• Fishers of St. Vincent	and the Grenadines ¹⁰		
• St. Vincent and the G	renadines Coast Guard		
Caribbean Regional F	isheries Mechanism		
The Maritime Admini	stration		
Ministry of Legal Affa	airs		
Ministry of Foreign A	ffairs		
National Parks, Rivers	s and Beaches Authority		
Ministry of Health and	d the Environment		
The National Fish Ma	rket Limited		

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Ministry of Tourism

¹⁰ St. Vincent and the Grenadines has no national fisherfolk organisation. There are several primary fisherfolk organisations. One was approached as part of this study.

Table 0.5 Primary, secondary and key stakeholders in the fishery in Trinidad and Tobago

Level of interaction	Type of organisation	Primary stakeholders	Secondary stakeholders
International	Intergovernmental		 United Nations Development Programme (UNDP) United Nations Educational, Scientific and Cultural Organisation (UNESCO) Food and Agriculture Organisation of the United Nations (FAO) International Maritime Organization (IMO) Technical Centre for Agricultural and Rural Cooperation (CTA)
Regional	Intergovernmental	Caribbean Regional Fisheries Mechanism (CRFM)	 ECLAC Sub-regional Headquarters for the Caribbean Association of Caribbean States (ACS) Western Central Atlantic Fishery Commission (WECAFC)
Regional	Private sector	Fish processors and exporters	
Regional	Fisherfolk organisation	 Caribbean Network of fisherfolk Organisations (CNFO) Fisherfolk organisations from other countries that fish in Trinidad and Tobago's waters both legally and illegally (e.g. BARNUFO) 	t l
Regional	Academia and research		 Caribbean Fisheries Training and Development Institute (CFTDI) University of the West Indies (UWI) Caribbean Industrial Research Institute (CARIRI)
Regional	Non-governmental organisations		 Caribbean Natural Resources Institute (CANARI) Cropper Foundation Caribbean Network for Integrated Rural Development (CNIRD)
National	Government	 Ministry of Food Production, Land and Marine Affairs (including the Fisheries Division) Tobago House of Assembly (THA)-includes the Department of Marine Resources and Fisheries that is 	 Ministry of National Security Ministry of People and Social Development

Level of interaction	Type of organisation	Primary stakeholders	Secondary stakeholders
Dever of interaction	Type of organisation	directly responsible for fisheries management of Tobago and other Divisions and Departments • Seafood Industry Development Company Lt (SIDC) • Maritime Services Division	Ministry of Public UtilitiesMinistry of Tobago Development
National	Private sector	 Crompston Fish Products Ltd Dockside Seafood; Captain Choice Ltd Emily Seafoods Int. Ltd Export Etcetera Jacob's Fishing Enterprise Land, Ice and Fish Mainline Seafoods Maradan Limited Premier Seafoods Renita Enterprises Ltd. Seafood Enterprises Ltd 	 Agricultural Development Bank Tobago Hotel and Tourism Association Trinidad Hotels, Restaurant and Tourism Association Trinidad & Tobago Chamber of Industry & Commerce Calypso Marine Canvas Limited Corsa Marketing Ltd. Budget Marine Trinidad Bowen Marine Glastron Boats One Caribbean Media (OCM)

Level of interaction	Type of organisation	Primary stakeholders	Secondary stakeholders
		Silva Hooks Exports	The Energy Chamber
		Stingrae Caribbean Limited	Other boat builders and fishing gear suppliers
		Trinidad Seafoods Ltd	Restaurant owners
		 Other fish vendors and exporters 	
		• Industrial fishers (not in an association)	
National	Fisherfolk organisations	• Trinidad and Tobago Game Fishing Associatio (TTGFA)- including the Tobago Sport Fishin Association (TSFA)	
		All Tobago Fisherfolk Association (ATFA)	
		 South West Tobago Fishermen's Associatio (SWTFA) 	n
		• Tobago Fishing Cooperative Society Ltd (Charlotteville)	1.
		 Roxborough Fishermen's Cooperative 	
		 Barbados Bay Fishermen's Association 	
		 Studley Park Fishermen's Association 	
		 Parlatuvier Fishermen's Association 	
		 Castara Fishermen's Association 	
		Belle Garden Fishermen's Association	
		 Trinidad and Tobago Unified Fisherfolk (TTUF) 	
		 Moruga/La Ruffin Fishing Association 	
		 Women in Fishing Association 	
		 Trinidad and Tobago Industrial Fishing Associatio (TTIFA) 	n
		 San Fernando Fishing Co-operative 	
		Cacandee/Felicity Fishing Association	
		 Claxton Bay Fishing Association 	
		• Blanchisseuse Fisherfolk and Marine Lif	e
		Association	
National	Non-governmental organisations		• Buccoo Reef Trust (BRT)
			• Environment Tobago (ET)
			Association of Tobago Dive Operators (ATDO) Vecht Services Association of Tripided and Tobago
			Yacht Services Association of Trinidad and Tobago

Level of interaction	Type of organisation	Primary stakeholders	Secondary stakeholders
			 (YSATT) Save Our Sea Turtles (SOS) Fishermen and Friends of the Sea (FFOS) Council of the Presidents of the Environment (COPE)
National	Academia and research		 Institute of Marine Affairs (IMA) University of Trinidad and Tobago (UTT) UWI CFTDI

Key stakeholders

- Tobago House of Assembly (THA)
- Ministry of Food Production, Land and Marine Affairs (including the Fisheries Division)
- Ministry of National Security (Trinidad Police Service and the Trinidad and Tobago Coast Guard)
- CFTDI
- Ministry of Health
- EMA
- University of the West Indies
- Ministry of Foreign Affairs
- TTGFA (TSFA)
- ADB
- Maritime Services Division (MSD)
- TTUF
- Fish processors (Trinidad Seafoods Ltd.)
- MEEA
- Ministry of Trade

Appendix 8: Capacity analyses of the five countries participating in the study (Highlighted cell indicates the presence of an element.) Table 0.1 Capacity analyses of stakeholders in the fishery in Barbados

Name stakeholder		World view	Culture	Structure	Adaptive strategies	Linkages	Skills	Material resources	Overall capacity
Fisheries Divisi	on								Medium
Consumers				There is no entity representing stakeholder as a whole.			Given the high level of fish consumption in Barbados, it is critical for consumers to know more about the resource, and some of the related issues that affect its management		Low
Boat owners				There is no entity representing this stakeholder group			Ü		Medium
Fisherfolk				Fishers were considered individually but not necessarily as members of any fisherfolk organisation.					Medium
Vendors									Low
Processors									Low
Gas/ se stations	rvice						Knowledge on the effects of their activity on the resource needs to be built (e.g. oil spills, disposal of waste, etc.)		Low

Name of stakeholder	World view	Culture	Structure	Adaptive strategies	Linkages	Skills	Material resources	Overall capacity
Barbados National Union of Fisherfolk Organisations			Many fishers are not fully involved in the organisation.	Unsure if adaptive strategies are in place though the organisation has been in existence for and active in the fishery for more than ten years.			To increase its level of operation, BARNUFO would require more materials resources and equipment.	Medium
Primary fisherfolk organisations			Some of the primary fisherfolk organisations lack basic operational structure (e.g. Oistins Fisherfolk Organisation has very weak accounting capabilities).					Medium
Fisheries Advisory Committee (FAC)					The FAC needs to be more visible, and let other stakeholders know what their role is to be able to better engage them.			Low
Markets Division, Ministry of Agriculture								Low
Fishing equipment suppliers								Low
Centre for Resource Management and Environmental Studies								High

Name of	World view	Culture	Structure	Adaptive strategies	Linkages	Skills	Material resources	Overall
stakeholder								capacity
Ministry of Health								Low
Caribbean Regional								High
Fisheries								
Mechanism								

Table 0.2 Capacity analyses of stakeholders in the fishery in Grenada

Name of stakeholder	World view	Culture	Structure	Adaptive	Linkages	Skills	Material	Overall
				strategies			resources	capacity
Fisheries Division			Organisation	The organisation is		Technical skills to	Fisheries Division	Low
			remains very top-	constrained by		participate in	is lacking the	
			down. Perception	governmental		governance are	material resources	
			among many	strictures but		present but lack	to adequately	
			stakeholders is that	believes that it can		facilitation skills to	participate in	
			its current	adapt to changes		manage	governance.	
			structure does not	as needed to		participatory		
			allow others to	manage the		processes in the		
			participate in	industry		country		
			governance					
Fishers (St. John's		Despite believing	There is no	Fishers are very	Believe that they	Fishers believe that	Fishers lack	Low
Fishermen's		that stakeholders	national fisherfolk	traditional and are	can form and	they do not have all	resources to	
Association and the		should participate	organisation so	often reluctant to	maintain	the skills necessary	participate in	
Southern Fishermen's		in governance,	there is no overall	change as needed	relationships with	to participate in	governance	
Cooperative)		fishers are often	structure.	0	other stakeholders			
·		wary of formal		resource	but unsure if these	O		
1		participation in			are effective			
		governance			relationships			
		because of past			1			
1		experiences.						
Nordom Seafood Ltd		Representative				Lack some of the		Medium
		indicated that he is				technical skills		
1		wary of				necessary to		
1		participating in too				participate in		
1		many consultations				governance		
		and management				0		
		structures because						
		they do not always						
		result in						
		appropriate action						
		(consultation						

Name of stakeholder	World view	Culture	Structure	Adaptive strategies	Linkages	Skills	Material resources	Overall capacity
Ministry of Health		burnout)		Organisation has not demonstrated ability to be able to		Organisation lacks both technical and facilitation skills	resources, especially funding,	Medium
				adapt to changes as needed		needed for participatory governance	are lacking	
Caribbean Regional Fisheries Mechanism (CRFM								High
Grenada Coast Guard	Generally believes that stakeholders should participate in governance but constrained by the type of organisation it is (military).		Military organisation with hierarchical structure. Limited opportunities for stakeholder input in governance	Ability to adapt to changes in the management constrained by the type of organisation		Needs technical knowledge about the resource		Low
Agency for Rural Transformation						Needs technical knowledge on the resource. Organisation has built capacity in facilitation	Inadequate funding to effectively participate in governance	Medium
Board of Tourism				Organisation unable to adapt readily as needed for effective governance. Government organisation that is changing to a statutory body.			Inadequate funding to be an effective partner in governance	Medium

Name of stakeholder	World view	Culture	Structure	Adaptive strategies	Linkages	Skills	Material resources	Overall capacity
				Hopes that the change in type of organisation will improve the timeliness with which it can adapt to changes.				
Ministry of Foreign Trade and Environment			Limited structures to allow stakeholders to participate in governance and management of the fishery			Inadequate facilitation skills	Needs funding to adequately participate in management and governance.	Medium
Ministry of Foreign Affairs						Needs both technical and facilitation skills built	Needs further funding to adequately participate in management and governance of the resource	Medium
Ministry of Legal Affairs	No information avai	lable on the capacity of	this organisation					
Grenada Community Development Agency (GRENCODA)						Needs both technical and facilitation skills built	Organisation spends much of its resources searching for funding and has limited financial resources to participate fully in governance	Medium
Grenada SCUBA Diving Association				Organisation does not believe that it		Needs both technical and	· ·	Medium

Name of stakeholder	World view	Culture	Structure	Adaptive strategies	Linkages	Skills	Material resources	Overall capacity
(GSDA)				can effectively adapt to changes to manage the resource since it is still developing its mandates.		facilitation skills built		
Energy Division						Needs both technical and facilitation skills built	Needs further funding	Medium
Forestry Division				Government organisation with strict processes of operation that does not always allow for timely decisions		Needs technical skills in fisheries management Organisation has built capacity in facilitation		Medium
Grenada Development Bank						Needs technical skills to make informed contributions		High
Spice Island Bill Fish Tournament Committee		Organisation is not always willing to participate in governance since does not always consider itself a stakeholder					Needs funding to consistently participate as an organisation in governance	Medium

Table 0.3 Capacity analyses of stakeholders in the fishery in Martinique

Name of stakeholder	World view	Culture	Structure	Adaptive strategies	Linkages	Skills	Material resources	Overall capacity
Regional Council (RC) of Martinique – Commission for Fisheries and Agriculture			Structures are in place, however, more competencies could be transferred from the state level to the regional one (Regional Council)		Participants were of	Requires more technical skills in	The Fisheries Division of the RC is sometimes perceived as not making the best use of its material resources.	Low
Fishers			Fishers prefer to be identified as individuals rather than as part of a primary or national fisherfolk organisation.		Murine Breeding		Individual fishers need material resources like funding	Medium
Fisherfolk organisations			Only a few fisherfolk organisations are fully operational.					Low
Regional Committee for Fisheries and Marine Breeding			New members of the Committee were recently appointed, and the Committee is still undergoing some operational restructuring. A more clearly defined structure		The only recognised agency entitled to be involved in resource management. Legislation in July 2010 gave the Committee advisory power/role.		Organisation needs financial resources to effectively participate in governance.	Medium

Name of stakeholder	World view	Culture	Structure	Adaptive strategies	Linkages	Skills	Material resources	Overall capacity
			was lacking.					
Directorate for Marine Affairs - Direction de la Mer							When the organisation has financial resources, procedures to allocate funds for decentralised cooperation are usually lengthy.	Medium
Vendors		The vendors' interests are not those of the fishers.	Distinction should be made between the vendors who are selling catches from fishers and those involved in food retailing at a much larger scale.			Vendors do not have the required technical skills to fully take part in governance.		Medium
Western Central Atlantic Fishery Commission (WECAFC)								Medium
Co-operative Society for Victualling					Most view the co- operative society as a key stakeholder, mainly based on the extent of its relationships with the professionals.			High
French Research Institute for the Exploitation of the Sea (IFREMER)								High
Consumers		Generally willing to	No organisation for					Low

Name of stakeholder	World view	Culture	Structure	Adaptive strategies	Linkages	Skills	Material resources	Overall capacity
		work with others	the consumers as a group of stakeholders					
Inter-city Cooperation Agency							The South Martinique agency recently funded moored fish aggregating devices (FADs)	Low
Construction and maintenance sector – shipbuilding								Low
Maritime training school								Medium
Maritime Bank								Low
General Council of Martinique							The General Council (Department) is mainly responsible for providing infrastructure and equipment (winches, docks, boats etc.)	Low

Table 0.4 Capacity analyses of stakeholders in the fishery in St. Vincent and the Grenadines

Name of stakeholder	World view	Culture	Structure	Adaptive	Linkages	Skills	Material	Overall
				strategies			resources	capacity
Fisheries Division	Organisation is changing its mandate to include more stakeholders in the governance in the fishing industry in SVG though still centralised in many ways.	demonstrated in the past that it has included stakeholders in the governance process. The representative however believed that the Fisheries Division can do more to ensure that stakeholders actively participate in the governance and management	defined hierarchical structure. The Extension Department works closely with its main stakeholders and there are several mechanisms that	The organisation is constrained by governmental strictures but believes that they		Fisheries Division has all the technical skills necessary to participate in the governance but needs capacity built to facilitate management processes	Fisheries Division is needs further material resources to adequately participate in	Low
Fishers (Goodwill Fishermen's Cooperative Society Limited)	Generally believe that stakeholders should be involved in management but unsure if this is the mandate of all the fishers as fishers have been unable to form a cohesive national fisherfolk organisation (NFO)	consulted as part of management of the fishery but do not always have others involved in their own governance	Need to establish a national fisherfolk organisation that	always able to adapt to changes as necessary to be effective	others but unsure if the quality of the	present but many lack formal knowledge.	material resources to participate effectively as a	Low

Name of stakeholder	World view	Culture	Structure	Adaptive strategies	Linkages	Skills	Material resources	Overall capacity
	Are not always concerned with the long term sustainability of the resource if management measures affect their livelihood							
St. Vincent and the Grenadines Coast Guard			Organisation demonstrated willingness to work with other stakeholders to manage the fishery but constrained by having a hierarchical structure. There is limited scope to change the structure	readily to changes as the chain of command demands that many persons within the organisation are to be consulted before			Needs many of the material resources including technology and funding to participate effectively in governance.	Medium
Caribbean Regional Fisheries Mechanism			Ç					High
Maritime Administration			Has clearly defined structure with assigned roles. Organisation has mechanisms in place to ensure that stakeholders' views are taken into consideration in governance.	Believes that organisation can readily adapt to changes as needed as it is required to adapt to regulatory changes in the maritime industry on a regular basis	Has demonstrated ability to form and maintain relationships through historical linkages with different organisations. Unsure if their role in the marine industry and in the fishery is clearly	Technical skills needed in the management of the fishery are not organisational and are required if organisation is to take a larger role in governance	Needs material resources necessary to adequately participate in governance.	Medium

Name of stakeholder	World view	Culture	Structure	Adaptive strategies	Linkages	Skills	Material resources	Overall capacity
					understood by other stakeholders as others' perception is different from the organisation's.			
National Parks, Rivers and Beaches Authority				Unsure if the organisation can adapt to changes as they are transitioning to a Systems Plan that has not been fully tested for its ability to effectively manage natural resources		Inadequate technical skills needed to participate in governance. Needs facilitation skills	Inadequate material resources to effectively participate governance	Medium
Ministry of Health and the Environment			Structure does not always allow for stakeholders to participate in management and governance	· ·		Ministry of Health will need to build technical skills to be further involved in governance.	Inadequate funding required to effectively participate in governance.	Low
National Fish Market Limited			Government organisation that does not have the necessary human resource to be involved in governance	May not be able to adapt to the changes in resource			Needs material resources to effectively participate in governance.	Medium
Ministry of Tourism			Organisation believes that it can communicate with others but there is the perception that			skills built.	Limited material resources to be an effective partner in governance	Medium

Name of stakeholder	World view	Culture	Structure	Adaptive strategies	Linkages	Skills	Material resources	Overall capacity
			it fails to function suggesting that there are some communication problems.			the organisation		
Ministry of Foreign Affairs		Organisation historically works with other government, regional and international organisations but does not always work with the community organisations. Stakeholder was reluctant to commit to more involvement nationally given that it functions as the government's link with entities outside the country				Has no technical skills relevant to the fishery	Has limited material resources (funding) to participate consistently in governance	Medium
The Cooperatives Department	Organisation is currently changing its mandate to include stakeholders in governance. It is not currently directly involved		Organisation has clearly defined roles and responsibilities and has suggested structures that will allow it to receive input from other stakeholders that will make the	Organisation believes that it can adapt to changes as needed but is constrained by government mandates		Lacks necessary technical knowledge to participate in the governance.	Lacks the material resources required to adequately participate in governance	Low

Name of stakeholder	World view	Culture	Structure	Adaptive strategies	Linkages	Skills	Material resources	Overall capacity
	in governance		organisation more participatory. Not fully implemented.					
St. Vincent and the Grenadines Bureau of Standards			Organisation is currently in transition; structure is uncertain	Lacks ability to change as needed to manage in a timely manner. Constrained by government mandates	Believes that relationships need to be strengthened	Needs technical skills to make informed contributions to governance and in facilitation	Organisation does not have the material resources to be involved in governance	Low
The St. Vincent and Grenadines Cooperative Bank Limited	and does not		Mechanisms in place to ensure that stakeholders can make suggestions but suggestions will not always lead to actions	S		Needs technical knowledge about the fishery	Does not have all the material resources necessary (equipment, technology)	Low
Sport fisher (Greg Allen)	Individuals do not always see themselves as stakeholders. Interviewee indicated that it was not important to have different stakeholders involved in management or governance.		There is no organised group so there is no structure at present. There are only about three sport fishers on St. Vincent. There are more in the Grenadines.	managemen	Individual's ability to form and maintain relationships with others high but the individual cannot speak on behalf of all sport fishers. Constrains the validity of the information as it may not be representative.	skills necessary to	Needs resources necessary to participate effectively in governance.	Low
St. Vincent and the Grenadines National Trust						Organisation needs technical skills to make informed contributions to	Organisation needs further funding.	Medium

Name of stakeholder	World view	Culture	Structure	Adaptive	Linkages	Skills	Material	Overall
				strategies			resources	capacity
						governance.		
						Capacity needs to be built in facilitation of participatory processes		
Sustainable		Organisation has	Lacks defined	Small organisation		Needs technical	Lacks funding,	Low
Grenadines Inc.		not tried to have stakeholders involved in its governance process but has shown that they believe that it is important that stakeholders are necessary for management	structure that allows for stakeholder input in governance				technology and equipment necessary to be an effective partner	

Table 0.5 Capacity analyses of stakeholders in the fishery in Trinidad and Tobago

stakeholder Tobago House of				Adaptive strategies	Linkages	Skills	Material resources	Overall
I Tobago House of	37 7 . 7 . 7	0 1 1	77. 1. 1		TII	37 7 . 7 . 7	37 1 11 1	capacity
O .	•	Organisation does		Organisation has a	_	Needs technical	Needs all material	Low
·		not always include		history of not being	· ·	knowledge and	resources to	
	· ·	other stakeholders	1 0	able to adapt as	maintain	facilitation skills	effectively/	
		in governance	stakeholder	needed to manage	1	built.	adequately manage	
	should be included			the resource	others but		governance	
	in governance		governance.	effectively.	miscommunications		processes	
			00	Decisions made are	can affect the			
	Organisation has a		are often not given	often not timely.	quality of the			
	history of selling		tools to be able to		relationships			
	decisions to its		assist fishers nor to	Ineffective and	formed.			
	stakeholders.		effectively take	inadequate				
			information back to	strategies in place				
			the THA.	for succession				
				planning				
			Interactions with					
			the Fisheries					
			Division in Trinidad					
			are also not					
			effective and					
			constrain					
			governance of the					
			fishery. There is					
			sometimes conflict					
			between the two					
			management					
			agencies.					
Ministry of Food	Philosophy of the	Organisation does	Hierarchical			Needs further	Needs material	Low
	2 0 0	not have a history	structure.			capacity built in	resources	
	· ·	-	Sir werm C.			facilitation of	1 CBC WI CCB	
	-	involving	Structure does not			participatory		
, ,		O	always allow for			processes		

Name of stakeholder	f World view	Culture	Structure	Adaptive strategies	Linkages	Skills	Material resources	Overall capacity
Division)	included in all levels of governance and management of the resource. The new fisheries legislation that is being developed for Trinidad and Tobago will see an overall change in the philosophy of the organisation	governance but works very closely with the non- artisanal fishers to meet ICCAT requirements	effective interaction with the THA (organisation responsible for management of the resource in Tobago)					
Trinidad and Tobago Coast Guard [TTCG], Ministry of National Security	Military organisation with limited philosophy on stakeholder involvement in governance	with other	Very hierarchical structure with informal and limited avenues for stakeholder input	Needs further strategies to enable it to cope with the changes in governance of the resource			Needs many of the material resources to effectively participate in governance and management	Low
All Tobago Fisherfolk Organisation (ATFA)			Tobago and has clearly defined roles and responsibilities. Organisation is	Lacks the ability to change as needed to effectively participate in governance. Stakeholder has not implemented adaptive strategies that were drafted in the past.		Needs technical skills built.	Needs material resources to be an effective partner in the fishery	Low
Trinidad and Tobago Industrial			Organisation has clearly defined				Stakeholder lacks funding to be an	Medium

Name of stakeholder	World view	Culture	Structure	Adaptive strategies	Linkages	Skills	Material resources	Overall capacity
Fishers Association (TTIFA)			roles and responsibilities but not all members are active. Some indicated that the organisation is no longer in existence.				effective consistent partner in governance	
Blanchisseuse fisherfolk and Marine Life Association (BFMLA)			· C	Organisation plans to include some adaptive strategies in strategic plan.	Stakeholder is still in the process of forming relationships.		Organisation needs further funding to consistently be a part of governance	Low
Trinidad and Tobago Unified Fisherfolk (TTUF)		Fishers have some history of working with other stakeholders. They are often reluctant to have too many stakeholders participating in governance as they (fishers) are marginalised when final decisions are made	Organisation has clearly defined roles but internal conflicts prevent the organisation from having a fully realised structure This national fisherfolk organisation is not truly representative of the fisherfolk organisations as many PFOs are not in the organisation. It is a relatively new organisation that is still being developed.	Lacks the ability to change as needed to effectively participate in governance.	Quality/ effectiveness of the relationships in which the organisation engages is not always high.	Organisation has many of the technical knowledge but there is still need for capacity to be built to make informed contributions to decisions.	Needs material resources	Low

Name of stakeholder	World view	Culture	Structure	Adaptive strategies	Linkages	Skills	Material resources	Overall capacity
			Needs assistance to develop organisation					
Caribbean Fisheries Training and Development Institute (CFTDI)								High
Environmental Management Authority (EMA)		Stakeholder's actions do not always reflect its philosophy of participatory management. Perception among others that some processes are shrouded in secrecy.	Structure of the organisation does not always allow for timely decisionmaking.	Organisation has very strict internal processes that do not allow for effective adaptation as needed		Needs access to technical knowledge about the resource to effectively participate in governance Needs skills built in facilitation of participatory processes	Funding is often constrained by limited government budgets	Low
Tobago Sport Fishing Association				Organisation has limited ability to effectively adapt as needed. Stakeholder has no formal adaptive strategies in place.			Organisation is highly dependent on funding from sponsors that is not always certain	Medium
Agriculture Development Bank (ADB)		Uncertain history of working with a wide range of stakeholders on a consistent basis for governance	Structure does not allow for stakeholder input in governance	Needs formal strategies that will allow the organisation to adapt		Needs technical knowledge to make informed contributions to decisions in the fishery.		Low
Maritime Services				Limited ability to			Needs funding	Medium

Name stakeholder	of	World view	Culture	Structure	Adaptive strategies	Linkages	Skills	Material resources	Overall capacity
Division (MSD)					Adapt to changes. Needs to develop formal strategies that include governance and management of the fishery				
Trinidad Seafor	ods		Is not always willing to participate in overall governance in the fishery as the process is slow Is sometimes willing to attend meetings when asked. Processor need to be shown the value of organising or being part of an organisation/association.	though they are invited to be part of the national fisherfolk organisation. Few have joined. Individual businesses have clearly defined roles and				Needs material resources to be an effective partner in governance	Medium
Institute of Mar Affairs (IMA)	ine							Organisation needs further material resources	High
Buccoo Reef Tr (BRT)	ust			Organisation is not fully functioning at present and is in the process of re-	Needs adaptive strategies. BRT has not been		Needs to develop facilitation skills and needs access to technical knowledge	Organisation has no funding to participate in	Low

Name	of	World view	Culture	Structure	Adaptive strategies	Linkages	Skills	Material resources	Overall
stakeholder									capacity
				building	able to recover from		about the fishery		
					the loss of its main				
					funding agency a				
					few years ago.				
					Funding base was				
					not diversified				
					enough to allow for				
					adaptation				

Appendix 9: Willingness analyses of the stakeholders participating in the study

Table 0.1 Willingness to participate in governance of the fishery in Grenada

Who is the stakeholder?	Main activity associated with the resource	Willingness of stakeholder to participate in management
Fisheries Division	Government fishery manager Legislative Conservation of the resource	Stakeholder legal mandated to manage the fishery
Fishers	Traditional users of the resource	Willing but need capacity built
Fish processors (Nordom Seafood Ltd)	Processing, marketing, exporting fish	Willing to participate in a limited manner in management and governance (only consultations)
Ministry of Health	Regulation of quality of the resource	Willing as mandated to help in quality assurance
Grenada Coast Guard	Enforcement of regulations on the water	Willing
ART	Research, sustainable development of rural livelihoods	Willing. Perception among other stakeholders is that this organisation is one of the stronger ones that should be included in management and governance
Board of Tourism	Tourism management	Willing. Organisation is already involved in marine protected area management and ready to be involved in other aspects of management and governance.
Ministry of Foreign Trade and Environment	Conservation Trade	Willing
Ministry of Foreign Affairs	Transboundary regulation of the resource	Willing to participate only as it relates to transboundary governance
Grenada Community Development Agency (GRENCODA)	Research in livelihood development	Willing
Grenada SCUBA Diving Association (GSDA)	Tourism	Willing to participate in overall governance of fishing but not necessarily in management and governance of one particular fishery.
Energy Division	Provides policy on fuel use Potential source of conflict with fishers in open waters	Willing. Concerned that planned future activities in the energy sector will curtail the number of days that fishers will be able to catch fish.
Forestry Division	Government regulator involved in the management of the protected areas system plan for the island	Willing to participate as part of the holistic approach to governance of the resource
Grenada Development Bank	Funding for fishers, processors and others in the sector	Believes that fishing is a critical sector that is often unrecognised and that one of the keys to managing the sector is to improve the way those involved in the sector manage their finances
Spice Island Bill Fish Tournament Committee	Sport fishing, tourism	Uncertain about willingness but willing to participate in a limited way. Needs more information to be an effective partner in governance

Table 0.2 Willingness to participate in governance of the fishery in St. Vincent and the Grenadines

Who is the stakeholder?	Main activity associated with the resource	Willingness of stakeholder to participate in management
Fisheries Division	Government fishery manager	Stakeholder legislated to be responsible for management of the
	Legislative	fishery
	Conservation of the resource	
Fishers (Goodwill Fishermen's Cooperative Ltd)	Traditional users of the resource	Willing but need capacity built
St. Vincent and the Grenadines Coast Guard	Enforcement of regulations	Legally mandated to be responsible for coastal defence and safety.
Caribbean Regional Fisheries Mechanism	Legislative	Facilitation of management and governance of the resource in
-	Advisory	member states is part of the CRFM's mandate
	Research	
	Government fishery manager	
	Conservation of the resource	
	Coordinate and promote fisheries development	
Maritime Administration	Regulatory (maritime issues)	Unwilling to be involved in areas of management beyond vessel
		registration and safety measures as lacks staff and other resources.
National Parks, Rivers and Beaches Authority	Regulatory	Legally mandated to regulate beaches including those where fish
		are landed
Ministry of Health and the Environment	Quality assurance	Willing to attend and take part in more formal management and
		governance of the resource
National Fish Market Limited	Fish processing	Willing
	Marketing of fish	
Ministry of Tourism	Tourism including sports tourism	Limited involvement only as pertains to the effect on tourism
Ministry of Foreign Affairs	Issues relating to the transboundary management of the resource	Willing to be involved in regional management and governance but not necessarily national aspects
The Cooperatives Department	Regulates cooperatives including the fishing cooperatives	Only willing to have limited involvement as does not want to be included in other aspects of management and governance outside its current mandate
Ct Vincent and the Counciling Develope	Ovality accurance	
St. Vincent and the Grenadine Bureau of Standards	Quality assurance	Willing.
The St. Vincent and Grenadine Cooperative	Loans for the fishers	Only limited involvement currently but believes that there is large
Bank Limited		potential for fishing in St. Vincent and the Grenadines that can

Who is the stakeholder?	Main activity associated with the resource	Willingness of stakeholder to participate in management	
		benefit from their financial help	
Sport fisher (Greg Allen)	Resource user	Very limited involvement. Would like to have information on the	
		trends in fishing	
St. Vincent and the Grenadines National Trust	Conservation	Willing to be part of management and governance	
Sustainable Grenadines Inc.	Conservation	Resource located outside the area under mandate of organisation	
	Sustainable development		

Table 0.3 Willingness to participate in governance of the fishery in Trinidad and Tobago

Who is the stakeholder?	Main activity associated with the resource	Willingness of stakeholder to participate in management
Tobago House of Assembly	Government fishery manager Conservation Advisory Legislative/ regulatory	Legally mandated to manage the fishery in Tobago
Ministry of Food Production, Land and Marine Affairs	Government fishery manager Conservation Advisory Legislative/ regulatory	Legally mandated to manage the fishery in Trinidad and Tobago
Trinidad and Tobago Coast Guard (Ministry of National Security)	Regulatory (enforcement of regulations)	Mandated to guard the coastal resources including the fishery. Willing to participate in other aspects of management and governance because understands that all critical stakeholders should be involved to be effective.
All Tobago Fisherfolk Association (ATFA)	Fishing Fish processing	Willing to involved in the governance of any fishery resource because members are traditional users of the coastal pelagics
Trinidad and Tobago Industrial Fishers Association (TTIFA)	Non-artisanal fisherfolk organisation (shrimp and groundfish)	Willing to participate to very limited degree in decision-making in the large pelagic fishery. There were sometimes conflicts between the artisanal and non-artisanal fishers though not as much as in the past. Many non-artisanal fishers believe that the organisation is defunct.
Blanchisseuse Fisherfolk and Marine Life Association	Fishing Fisherfolk organisation	Willing to participate in decision-making in all fisheries but may not yet have the capacity to do so.
Trinidad and Tobago Unified Fisherfolk	Fishing Fish processing	Willing but organisation is not well known. Individual primary fisherfolk organisations are more commonly invited to meetings than the national fisherfolk organisation. Organisation is however, relatively young.
Caribbean Fisheries Training and Development Institute	Training Education and awareness	Participation via training activities will facilitate the widespread use of sustainable fish capture and fishing gear technologies. Unsure if willing to participate in overall governance of the resource.

Who is the stakeholder?	Main activity associated with the resource	Willingness of stakeholder to participate in management
Environmental Management Authority	Legislative Advisory Conservation	EMA's mandate demands that they are responsible for general environmental management with a strong emphasis on conservation and wise use of the resource
Tobago Sport Fishing Association	Sport fishing Tourism	Willing as members consider themselves to be stakeholders in the use of the resource.
Agricultural Development Bank	Funding/lending agency	Organisation is very uncertain about how involved they would like to be in the governance of the fishery because of its current limited involvement in governance and management. Willing to provide advice based on its mandate.
Maritime Services Division	Regulatory Advisory	Part of the organisation's mandate to regulate vessels.
Trinidad Seafoods Ltd.	Fish processing	Willing to participate in a very limited way. Many processors are not part of fisherfolk organisations and businesses are not organised into associations. There are no structured avenues for representation of the group in management
Institute of Marine Affairs	Research Advisory	Willing to continue participating in management and governance. Was a member of the Monitoring and Advisory Committee on the Fisheries of Trinidad and Tobago (MAC).
Buccoo Reef Trust	Research Conservation Advisory	Needs capacity built to participate but is willing
Non-artisanal fishers	Fishing	Very involved in the management of the fishery. Work very closely with the Fisheries Division in Trinidad to record catches and to suggest quotas for ICCAT.

Appendix 10: Levels of influence of stakeholders in the fishery at the national level

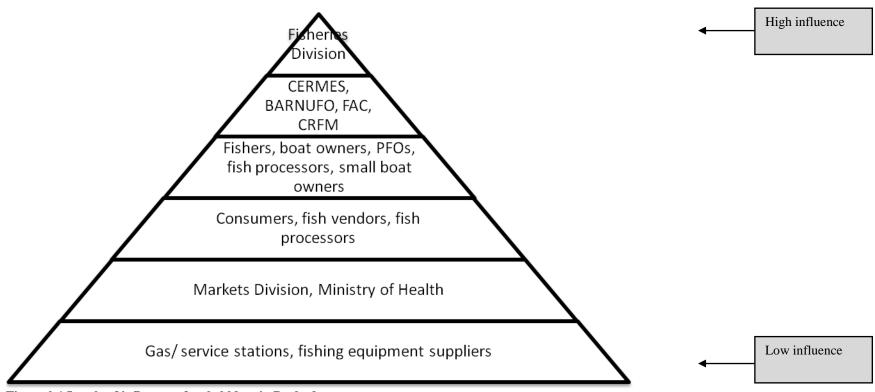


Figure 0.1 Levels of influence of stakehlders in Barbados

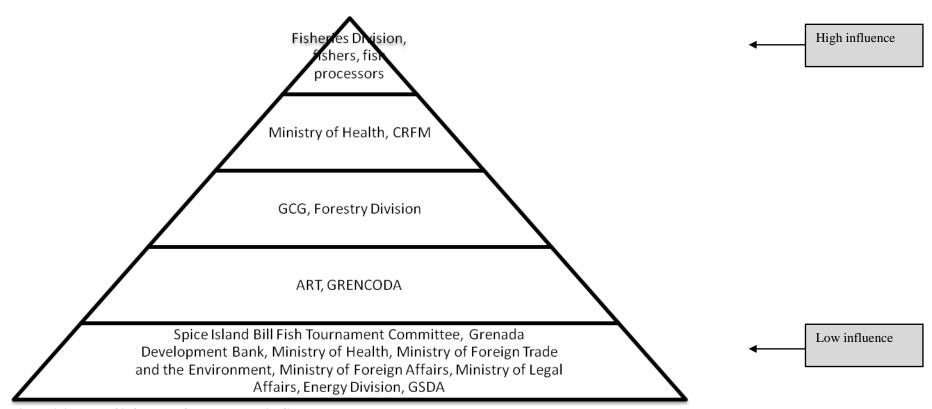


Figure 0.2 levels of influence of stakeholders in Grenada

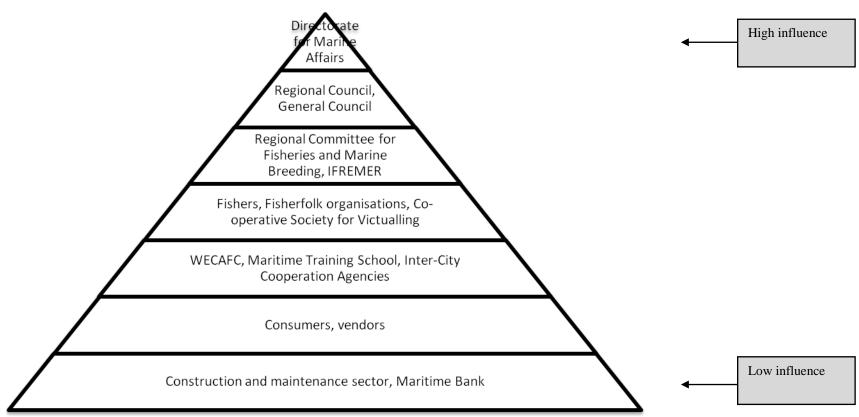


Figure 0.3 Levels of influence in Martinique

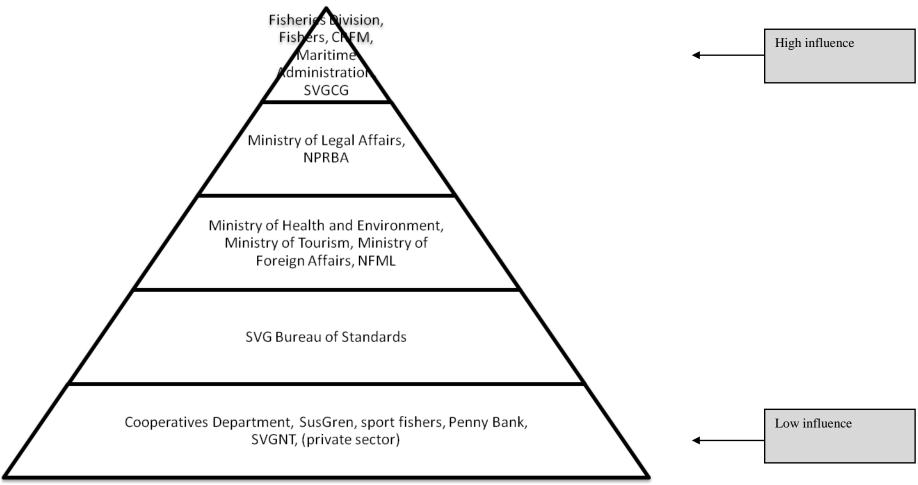


Figure 0.4 Levels of influence in St. Vincent and the Grenadines

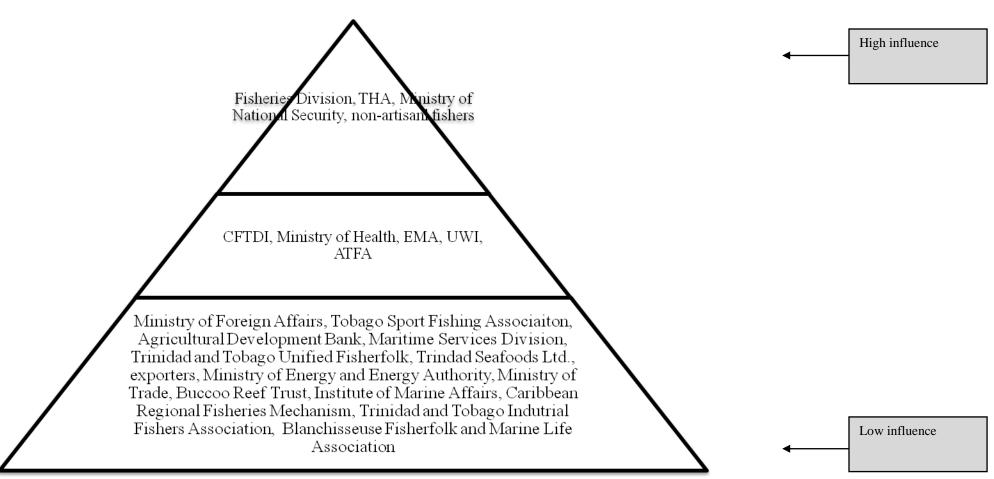


Figure 0.5 Levels of influence in Trinidad and Tobago

Appendix 11: Strategies to address constraints and challenges for stakeholder engagement

Table 0.1 Matrix showing how the different strategies can address constraints and challenges

Constraint	Participation strategy	Communication strategy	Mentoring and coaching	Action Learning Groups	Financing Strategy
Regional level stakeholders					
Inadequate resources	Guides resource allocation among stakeholders dependent on how deeply they are involved			Can include donors to contribute to enhancing their understanding of funding needs and contribute to funding opportunities being more responsive to recipient needs Can catalyse complimentary initiatives and stimulate funding	Sets minimal budget and has fund-raising plan to effect plans and strategies
Limited knowledge and understanding of how to implement the ecosystem approach to fisheries management (EAF) at different governance levels		Improves awareness by targeted products and pathways	Facilitates transfer of knowledge of on-the-ground approaches to EAF from more experienced stakeholders to less experienced stakeholders	Can assist in developing a research framework in response to EAF implementation in the region Facilitates peer learning on participatory approaches appropriate for the fishery Can communicate recommendations on approach to fisheries management (EAF) at different governance levels to key management agencies. Through membership in the ALG, regional agencies can	

Constraint	Participation strategy	Communication strategy	Mentoring and coaching	Action Learning Groups	Financing Strategy
				identify opportunities to	
				link with their initiatives so	
				assisting in implementation of EAF.	
Inconsistant maggages about EAE		Determines the massages	Cupports stalzaholdara to	Assist with determining	
Inconsistent messages about EAF		Determines the messages and pathways that must be	Supports stakeholders to craft clearer messages	messages, target audiences	
		used to improve uptake of	craft clearer messages	and selection of pathways	
		EAF		and selection of pathways	
Short history of employing participatory		Shares lessons on		Peer learning on	
approaches within the region		participatory approaches		participatory approaches	
				appropriate for the fishery	
Legal and legislative environment for the	Identifies the roles of	Advocacy for change in		Can identify and prioritise	
management of fisheries does not enable	various stakeholders to	legislation can be targeted		actions to effect EAF	
participatory approaches	bring about the changes	at key change agents.		ALG can include key	
	necessary for EAF,			change agents so that buy-	
				in to amendments to legal	
				and policy framework is maximised	
				Peer learning and support to	
				develop innovative ways to	
				work with existing	
				legislation while they are	
				being changed.	
Limited representation from the region in the		Simple messages to clarify		Can serve as a forum for	Possible funding to further
International Commission for the		the governance and		ICCAT members and non-	explore feasibility of more
Conservation of Atlantic Tunas (ICCAT)		management systems		members to exchange	simple management and
		Messages crafted to		information and create	governance systems for the
		underscore the need to		networks to contribute to	fishery
		participate in the		improved governance	
		organisation			
Disconnect between regional management		Determines pathways to		Identifies best management	
and national management		transfer regional		approaches to promote in	
		management decisions to		the region.	
Deposition of fishing as a livelihood		actions on the ground Increases awareness of the		Identifies documented	
Perception of fishing as a livelihood		importance of fishing to the		analysis of the contribution	
		importance of fishing to the		analysis of the contribution	

Constraint	Participation strategy	Communication strategy	Mentoring and coaching	Action Learning Groups	Financing Strategy
		economy		of fisheries to livelihood for	
				promotion to target	
Secondary stakeholders do not see themselves	Determines the desired	Clarifies the EAF approach		audiences. Can include secondary	
as stakeholders	level of participation of	Improves understanding the		stakeholders and so can	
us sumeroreers	stakeholders in the fishery	role of stakeholders in		improve their	
		management of the fishery		understanding of their role	
				in management of the	
Insufficient importance attached to	Identifies which	Clarifies how information		fishery. Promotes the importance of	Funding to ensure that
Insufficient importance attached to communication	stakeholders should be	is to be communicated on		effective communication in	necessary resources (e.g.
Communication	involved in communication	EAF and promotes the		implementing EAF.	human, financial) are
	and how effective	importance of			allocated to implement
	communication contributes	communication in effecting			communication strategy.
National level stakeholders	to EAF	EAF			
	Determines desired level of	Sharing messages on the	Mentoring and coaching for	Identifies regional best	Funding to support
Inadequate organisation among stakeholders in civil society	participation for each	value of being organised	organisational development	Identifies regional best practices to promote	Funding to support organisational development
in civil society	stakeholder and therefore	value of being organised	organisational de veropinent	participation in governance	organisational development
	resource allocation				
Inadequate participation in existing	Determines desired level of	Sharing messages on the	Mentoring and coaching as	Identifies regional best	Funding to support
organisations	participation for each	value of participating in	needed for individual	practices to promote	organisational development
	stakeholder	existing organisations to participate in governance	stakeholder groups	participation in governance	
Inadequate resources	Desired level of	participate in governance			Amount allocated to
	participation determines				various stakeholders;
	fund allocation to				governance and
Maeting places approvide bla	stakeholders	Targeted products and	Individual stakeholder	Promotes appropriate	management measures
Meeting places unsuitable		Targeted products and pathways selected to enable	support and engagement	Promotes appropriate selection of meeting venues	
		effective participation	through the use of the	and meeting times (below)	
		1 1	mentor or coach	through consensus	
Time of meeting unsuitable		Targeted products and	Individual stakeholder		
	D	pathways to suit	engagement		
Inadequate information available to	Determine desired level of	Products and pathways used and frequency of use			
participate effectively in governance	participation	used and frequency of use			

Constraint	Participation strategy	Communication strategy	Mentoring and coaching	Action Learning Groups	Financing Strategy
Chosen pathways ineffective		Targets the pathways to suit the audience.			
Communication within organisations not always effective	Stakeholders can identify the best way to communicate with themselves	Develop strategy for internal communication	Individual stakeholder coaching to improve internal communication	Peer support among key stakeholders to use effective methods to communicate	
Insufficient prominence of some key stakeholders	Improved understanding of role of stakeholders	Promotion of the activities of key stakeholder to specified target audiences			Funding to effect communication
Insufficient coordination for management and governance				Peer support and learning across sectors Informal networks established to contribute to better information exchange	
Short history of effective participatory measures employed in the management of natural resources within countries		Distil lessons learnt to include in messages	Mentoring and coaching as needed to support understanding of best practices for implementation	Peer learning to develop innovative methods for participatory management and governance Best management practices identified for promotion	
Perception of fishing		Increases awareness of large pelagic fishery to economies		Informal networks established and used to promote the importance of fishing to livelihoods in the region.	
Stakeholders not concerned about management and governance	Determines desired level of participation	Increases awareness of importance of participation			
Stakeholders unwilling to participate	Determines who should participate	Improves awareness of messages		One-on-one support of understanding of roles and responsibilities	
Low organisational capacity of the primary fisherfolk organisations	Capacity analysis to determines gaps		Support for organisational development	Peer support for development of organisational structures and leadership skills	
Insufficient awareness of the roles and responsibilities of different actors in	Determines the level of awareness needed to be	Increases awareness of stakeholders and their			

Constraint	Participation strategy	Communication strategy	Mentoring and coaching	Action Learning Groups	Financing Strategy
management and governance	effective participants in governance	messages Increases awareness of the roles and responsibilities of all stakeholders			
Conflicts among stakeholders	Conflict analysis and strategy developed. Mediation may be needed.	Clarification of messages and positions		Builds trust among stakeholders	
Inadequate data collection	Design participatory research methods that can ensure buy-in from stakeholders Training in data collection and software use as needed	Communicates the importance of data collection in the governance of the fishery to stakeholders Communicates findings of studies via appropriate pathways so that stakeholders can see how data collection contributes to their livelihoods		Identifies and prioritises research needs	Funding for training and upgrade/ development of software
Organisational structures that do not allow for easy stakeholder engagement	Capacity analysis to determine organisational structure		Mentoring to support individual stakeholder development	Peer support among stakeholders (especially government agencies to develop measures that can work even within current structures)	
Inadequate understanding of the need for facilitation	Capacity analysis to determine training needs				Funding allocated to training as needed